

HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013 OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Community Plan, Inc.

 NAIC Group Code
 0707
 0707
 NAIC Company Code
 95467
 Employer's ID Number
 38-3204052

Organized under the Laws of	(Current) (Prior) Michigan	, State of Domicile or Port of En	try <u>Michigan</u>
Country of Domicile	United S	States of America	
Licensed as business type:	Health Maint	tenance Organization	
Is HMO Federally Qualified? Yes [] No [X]		
Incorporated/Organized	10/11/1994	Commenced Business	10/11/1994
Statutory Home Office 2	6957 Northwestern Highway, Suite 400		Southfield , MI, US 48033
	(Street and Number)	, ,	own, State, Country and Zip Code)
Main Administrative Office		stern Highway, Suite 400 et and Number)	
	ield , MI, US 48033		248-559-5656
	tate, Country and Zip Code)		a Code) (Telephone Number)
	Health Care Lane, MN017-E900 treet and Number or P.O. Box)		innetonka , MN, US 55343 own, State, Country and Zip Code)
Primary Location of Books and Record	ds 26957 Northwe	estern Highway, Suite 400	
Southfi	(Stree ield , MI, US 48033	et and Number)	248-559-5656
	tate, Country and Zip Code)	,(Are	a Code) (Telephone Number)
Internet Website Address	www.uhco	communityplan.com	
Statutory Statement Contact	Michael E Koepke		952-979-6149
	(Name)	, ,,	(Area Code) (Telephone Number)
	e_koepke@uhc.com -mail Address)		952-979-7825 (FAX Number)
	0	FFICERS	
President	Donald Warner Schmidt #	Treasurer	Robert Worth Oberrender
Secretary	Eric Jacob Wexler	Chief Financial Officer	Carol Ann Gothard
Michelle Marie Huntley Dill As		OTHER	
James Daniel Dono William Everett Ral	ovan Joseph	RS OR TRUSTEES I Gilbert Gaudio # en Lynn Schultz	Bror Olof Hultgren #
State of	State of		State of
County of	County of		County of
herein described assets were the absolute related exhibits, schedules and explanati reporting entity as of the reporting perio. Statement Instructions and Accounting I not related to accounting practices and p officers also includes the related corresp	e property of the said reporting entity, free and clea ons therein contained, annexed or referred to, is a f d stated above, and of its income and deductions the Practices and Procedures manual except to the exter rocedures, according to the best of their informatio	r from any liens or claims thereon, except full and true statement of all the assets and erefrom for the period ended, and have be nt that: (1) state law may differ; or, (2) tha n, knowledge and belief, respectively. Fi ired, that is an exact copy (except for form	r, and that on the reporting period stated above, all of the as herein stated, and that this statement, together with liabilities and of the condition and affairs of the said ten completed in accordance with the NAIC Annual t state rules or regulations require differences in reporting urthermore, the scope of this attestation by the described natting differences due to electronic filing) of the enclosed
Donald Warner Schmidt President		lacob Wexler Secretary	Carol Ann Gothard Chief Financial Officer
Subscribed and sworn to before me this day of	Subscribed and sworn	n to before me this	Subscribed and sworn to before me this day of
		b. If no, 1. State the amendm 2. Date filed	Yes [X] No [] ent number

ASSETS

		1	2	3	December 31
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
1.	Pande	39,284,981	Nonadmitted Assets	39,284,981	33,576,606
			0		
2.	Stocks: 2.1 Preferred stocks	0	0	0	0
		0	0	0	0
3.		0	0	0	0
Э.	Mortgage loans on real estate: 3.1 First liens	0	0	0	0
			0		0
		0	0	0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less \$0	0		0	0
	encumbrances)	0	0	0	0
	4.2 Properties held for the production of income (less	•			
	\$0 encumbrances)	0	0	0	0
	4.3 Properties held for sale (less \$0				
	encumbrances)	0	0	0	0
5.	Cash (\$2,630,341), cash equivalents				
	(\$0) and short-term				
	investments (\$140,884,579)	143,514,920	0	143,514,920	128,524,359
6.	Contract loans (including \$0 premium notes)	0	0	0	0
7.	Derivatives			0	0
8.	Other invested assets			0	0
9.	Receivables for securities	0	0	0	0
10.	Securities lending reinvested collateral assets			_	0
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)				
	Title plants less \$0 charged off (for Title insurers	,,		, , , ,	, , , ,
	only)	0	0	0	0
14.	Investment income due and accrued			561,901	
15.	Premiums and considerations:				
10.	15.1 Uncollected premiums and agents' balances in the course of collection	1 084 850	0	1 084 850	1 945 285
	15.2 Deferred premiums, agents' balances and installments booked but	1,004,000		1,004,000	1,040,200
	deferred and not yet due (including \$0				
	earned but unbilled premiums)	0	0	0	0
	15.3 Accrued retrospective premiums				٥
40		0	0		0
16.	Reinsurance:	700,000	0	700 000	111 000
	16.1 Amounts recoverable from reinsurers				111,062
	16.2 Funds held by or deposited with reinsured companies				0
	16.3 Other amounts receivable under reinsurance contracts				0
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				, ,
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software	0	0	0	0
21.	Furniture and equipment, including health care delivery assets				
	(\$0)				0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates			0	499,023
24.	Health care (\$5,554,739) and other amounts receivable	7,773,327	2,218,588	5,554,739	7,296,420
25.	Aggregate write-ins for other than invested assets	554,749	0	554,749	1,485,498
26.	Total assets excluding Separate Accounts, Segregated Accounts and				.== === <u>.</u>
	Protected Cell Accounts (Lines 12 to 25)	195,719,308	2,724,979	192,994,329	175,574,273
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28	Total (Lines 26 and 27)	195,719,308	2,724,979	192,994,329	175,574,273
20.		193,719,300	2,124,313	192,994,029	173,374,273
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page		0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	State Income Taxes Receivable		0	554,749	34,841
2502.	Performance Bonus Receivable	0	0	0	1,450,657
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	554,749	0	554,749	1,485,498

LIABILITIES, CAPITAL AND SURPLUS

	LIADILITIES, CAP		Current Period		Prior Year
		1	2	3	4
		Covered	Uncovered	Total	Total
1.	Claims unpaid (less \$106,634 reinsurance ceded)	113,403,393	0	113,403,393	94,932,263
2.	Accrued medical incentive pool and bonus amounts		0		
3.	Unpaid claims adjustment expenses			995,791	
					1,001,404
4.	Aggregate health policy reserves, including the liability of				
	\$0 for medical loss ratio rebate per the Public				
	Health Service Act		0	935,775	943,947
5.	Aggregate life policy reserves	0	0	0	0
6.	Property/casualty unearned premium reserve	0	0	0	0
7.	Aggregate health claim reserves			0	0
8.	Premiums received in advance				0
				4,997,311	
9.	General expenses due or accrued	4,997,311	0	4,997,311	4, 107,037
10.1	Current federal and foreign income tax payable and interest thereon				
	(including \$0 on realized gains (losses))			0	790 , 197
10.2	Net deferred tax liability	0	0	0	0
11.	Ceded reinsurance premiums payable	0	0	0	0
12.	Amounts withheld or retained for the account of others				0
13.	Remittances and items not allocated			0	0
				0	
14.	Borrowed money (including \$0 current) and				
	interest thereon \$0 (including				
	\$0 current)	0	0	0	0
15.	Amounts due to parent, subsidiaries and affiliates	669,392	0	669,392	0
16.	Derivatives		0	0	0
17.	Payable for securities		0		0
l					0
18.	Payable for securities lending			0	0
19.	Funds held under reinsurance treaties (with \$0				
	authorized reinsurers, \$0 unauthorized				
	reinsurers and \$0 certified reinsurers)	0	0	0	0
20.	Reinsurance in unauthorized and certified (\$0)				
	companies	0	0	0	0
21	Net adjustments in assets and liabilities due to foreign exchange rates			0	0
21.		1 675 000			
22.	Liability for amounts held under uninsured plans	1,0/0,098	0	1,675,098	1,052,492
23.	Aggregate write-ins for other liabilities (including \$37,065				
	current)		0		61,541
24.	Total liabilities (Lines 1 to 23)	125,347,215	0	125,347,215	104,840,823
25.	Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26.	Common capital stock			0	0
l	Preferred capital stock				0
27.					
28.	Gross paid in and contributed surplus				
29.	Surplus notes				0
30.	Aggregate write-ins for other than special surplus funds				0
31.	Unassigned funds (surplus)	XXX	XXX	18,643,722	23,730,058
32.	Less treasury stock, at cost:				
	32.10 shares common (value included in Line 26				
	\$	VVV	VVV	0	0
			XXX	0	0
	32.20 shares preferred (value included in Line 27				
	\$0)	XXX	XXX	0	0
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	67,647,114	70,733,450
34.	Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	192,994,329	175,574,273
	DETAILS OF WRITE-INS			- / /	.,.,
		07.005		07.005	04 544
2301.	Unclaimed Property	37,065	0	37,065	61,541
2302.					
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399.	Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	37,065	0	37,065	61,541
			XXX	,	,
2501.					
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page	xxx	XXX	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.		XXX	XXX		
5001.					
3002			^^^		
3002.					
3003.		xxx	xxx		
		xxx			0

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	xxx	2,141,892	2,209,817	2,932,420
2.	Net premium income (including \$0 non-health				
	premium income)				
3.	Change in unearned premium reserves and reserve for rate credits				
4.	Fee-for-service (net of \$				
5.	Risk revenue				0
6.	Aggregate write-ins for other health care related revenues				
7.	Aggregate write-ins for other non-health revenues				
8.	Total revenues (Lines 2 to 7)	XXX	5/0,//3,541	661,311,717	875,429,664
_	Hospital and Medical: Hospital/medical benefits	0	E06 03E 043	474 620 447	600 067 000
9. 10.	Other professional services				5,604,677
11.	Outside referrals			_	0
12.	Emergency room and out-of-area				35.266.771
13.	Prescription drugs			, , ,	95,657,487
14.	Aggregate write-ins for other hospital and medical			, , ,	0
15.	Incentive pool, withhold adjustments and bonus amounts				4,785,633
16.	Subtotal (Lines 9 to 15)			, ,	770,281,860
	Less:		, ,-	,,.	. ,
17.	Net reinsurance recoveries	0	476,491	611,817	1,124,570
18.	Total hospital and medical (Lines 16 minus 17)	0	618,322,417	580 , 788 , 105	769, 157, 290
19.	Non-health claims (net)			_	0
20.	Claims adjustment expenses, including \$				
	containment expenses	0	16,564,850	8,940,318	27,790,047
21.	General administrative expenses	0	44,496,719	73,656,656	74,649,991
22.	Increase in reserves for life and accident and health contracts				
	(including \$0 increase in reserves for life only)				
23.	Total underwriting deductions (Lines 18 through 22)				
24.	Net underwriting gain or (loss) (Lines 8 minus 23)		(8,610,445)	(2,073,362)	3,832,336
25.	Net investment income earned	0	791 , 163	1,016,324	1,314,772
26.	Net realized capital gains (losses) less capital gains tax of				
	\$64,278				
27.	Net investment gains (losses) (Lines 25 plus 26)	0	907,110	1,260,658	1,573,758
28.	Net gain or (loss) from agents' or premium balances charged off [(amount				
	recovered \$0)				•
	(amount charged off \$			0	
29.	Aggregate write-ins for other income or expenses	0		(25,000)	(25,000)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	xxx	(7,703,335)	(837,704)	5,381,094
31.	Federal and foreign income taxes incurred		(2,912,954)	(797,215)	1, 188, 072
32.	Net income (loss) (Lines 30 minus 31)	xxx	(4,790,381)	(40,489)	4,193,022
	DETAILS OF WRITE-INS				
0601.	Performace Bonus	xxx	0	0	1,450,657
0602.					
0603.					
0698.		XXX	0	0	0
0699.	Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	xxx	0	0	1,450,657
0701.		XXX			
0702.					
0703.					
0798.	Summary of remaining write-ins for Line 7 from overflow page		0	0	0
0799.	Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	n
1401.	Totale (Enice over through over place or objection above)	,,,,,	,	,	<u> </u>
1402.					
1403					
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0	Λ
1496.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0		۰
2901.	Fines and Penalties		0	(25,000)	(25,000)
2902.			·································	(23,000)	(23,000)
			·····		
2903	Summary of rampining write ine for Line 20 from everflow page				^
2998.	Summary of remaining write-ins for Line 29 from overflow page		0	(OF 000)	U
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	(25,000)	(25,000)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	STATEMENT OF REVENUE AND EX	PENSES (Continued	/
		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
		to Date	to Bate	December of
	CARITAL AND SURPLUS ACCOUNT			
	CAPITAL AND SURPLUS ACCOUNT			
33.	Capital and surplus prior reporting year	70,733,450	67,380,569	67,380,569
34.	Net income or (loss) from Line 32	(4,790,381)	(40,489)	4, 193,022
35.	Change in valuation basis of aggregate policy and claim reserves	0	0	0
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$0			
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	(270,059)	(5,410,899)	1,483,957
40	Change in unauthorized and certified reinsurance			
41.	Change in treasury stock			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles.			
44.	Capital Changes:			
	44.1 Paid in	0	0	0
	44.2 Transferred from surplus (Stock Dividend)			0
	44.3 Transferred to surplus			
45.	Surplus adjustments:			0
45.		2 000 000	0	0
	45.1 Paid in			0
	45.2 Transferred to capital (Stock Dividend)			0
	45.3 Transferred from capital		0	0
46.	Dividends to stockholders		0	0
47.	Aggregate write-ins for gains or (losses) in surplus			
48.	Net change in capital & surplus (Lines 34 to 47)			
49.	Capital and surplus end of reporting period (Line 33 plus 48)	67,647,114	61,592,923	70,733,450
	DETAILS OF WRITE-INS			
4701.	Correction of Error	0	(3, 157, 912)	(3, 157, 912
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799.	Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	(3,157,912)	(3,157,912

${\tt STATEMENT\ AS\ OF\ SEPTEMBER\ 30,\ 2013\ OF\ THE\ \ United Healthcare\ Community\ Plan,\ Inc.}$

CASH FLOW

	CASH FLOW			
		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	673,076,461	661,911,100	872,537,346
2.	Net investment income	1,045,661	542,705	1,015,576
3.	Miscellaneous income	0	0	1,450,657
4.	Total (Lines 1 to 3)	674, 122, 122	662,453,805	875,003,579
5.	Benefit and loss related payments	597,903,021	576,414,838	767,853,145
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	60,464,791	83,138,561	101,255,957
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of \$0 tax on capital			
	gains (losses)	(1,842,548)	(1,676,069)	1,365,932
10.	Total (Lines 5 through 9)	656,525,264	657,877,330	870,475,034
11.	Net cash from operations (Line 4 minus Line 10)	17,596,858	4,576,475	4,528,545
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	14,188,617	18,647,227	23,548,650
	12.2 Stocks	0	0	0
	12.3 Mortgage loans	0	0	0
	12.4 Real estate			0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	755	0	2,508
	12.7 Miscellaneous proceeds	0	100,006	0
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	14,189,372	18,747,233	23,551,158
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	19.939.608	15.632.923	17.174.437
		0		
	13.3 Mortgage loans			
	13.4 Real estate	0	0	0
		0	0	0
	13.6 Miscellaneous applications	0	2,250,000	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	19,939,608	17,882,923	17, 174, 437
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(5,750,236)	864,310	6,376,721
Ī	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	2,000,000	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	1,143,939	(5,802,647)	(5,671,734)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	3,143,939	(5,802,647)	(5,671,734)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	14,990,561	(361,862)	5,233,532
19.	Cash, cash equivalents and short-term investments:	, , , ,	, , _,	,,-
		128,524,359	123,290,827	123,290,827
	19.2 End of period (Line 18 plus Line 19.1)	143,514,920	122,928,965	128,524,359

Note: Supplemental disclosures of cash flow information for non-cash transactions:		

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	1 Comprehensive 4 (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	243,545	968	0	0	0	0	0	4,537	238,040	
2. First Quarter	239,775	1,022	0	0	0	0	0	4,775	233,978	
3. Second Quarter	237,899	1,062	0	0	0	0	0	5,171	231,666	
4. Third Quarter	237,343	1,357	0	0	0	0	0	5,449	230,537	
5. Current Year	0	0	0	0	0	0	0	0	0	
6. Current Year Member Months	2,141,892	9,668	0	0	0	0	0	45,252	2,086,972	
Total Member Ambulatory Encounters for Period:										
7 Physician	1,285,113	2,560	0	0	0	0	0	65,747	1,216,806	
8. Non-Physician	665,982	685	0	0	0	0	0	31,073	634,224	
9. Total	1,951,095	3,245	0	0	0	0	0	96,820	1,851,030	
10. Hospital Patient Days Incurred	99,522	46	0	0	0	0	0	12,284	87,192	
11. Number of Inpatient Admissions	20,579	11	0	0	0	0	0	1,752	18,816	
12. Health Premiums Written (a)	672,134,803	773,112	0	0	0	0	0	45,045,005	626,316,686	
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	
15. Health Premiums Earned	672,142,975	773,112	0	0	0	0	0	45,053,177	626,316,686	
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	
17. Amount Paid for Provision of Health Care Services	599,100,841	442,506	0	0	0	0	0	38,805,235	559,853,100	
18. Amount Incurred for Provision of Health Care Services	618,798,908	366,552	0	0	0	0	0	40,221,754	578,210,602	

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
1	2	3	4	5	6	7
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 Days	Over 120 Days	Total
Claims Unpaid (Reported)		•	•	•		
						,
0299999 Aggregate accounts not individually listed-uncovered	0	0	0	0	0	0
0399999 Aggregate accounts not individually listed-covered	14,212,060		3,328,073		9,495,660	33,652,744
0499999 Subtotals	14,212,060	3,329,090	3,328,073	3,287,861	9,495,660	33,652,744
0599999 Unreported claims and other claim reserves						79,823,083
0699999 Total amounts withheld						34,200
0799999 Total claims unpaid						113,510,027
0899999 Accrued medical incentive pool and bonus amounts						2,633,390

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

ANALYSIS OF CLAIMS UNPAID - PI								
		Claims Paid Liability			5	6		
	Year to			Year to Date End of Current Quarter				
	1	2	3	4		Estimated Claim		
	On		On			Reserve and		
	Claims Incurred Prior	On	Claims Unpaid	On	Claims Incurred in	Claim Liability		
	to January 1 of	Claims Incurred	Dec. 31	Claims Incurred	Prior Years	December 31 of		
Line of Business	Current Year	During the Year	of Prior Year	During the Year	(Columns 1 + 3)	Prior Year		
Line of Business	Garrett Teal	During the real	orr nor rear	During the Tear	(coldinio 1 · o)	THOI TCUI		
Comprehensive (hospital and medical)	37,124	405.383	107.357	57.089	144 . 481	232,853		
1. Comprehensive (nospital and medicar)		400,000	107,007		144,401	202,000		
a. Martine Carlos and	0	0	0	0	0	0		
Medicare Supplement	0	0	0		0			
		2	2	•	2	•		
3. Dental Only	0	0	0	0	0	0		
4. Vision Only	0	0	0	0	0	0		
5. Federal Employees Health Benefits Plan	0	0	0	0	0	0		
6. Title XVIII - Medicare	5,782,870	33.001.930	318.695	9,063,895	6,101,565	7,598,873		
S. THO ACID					,,			
7 Title XIX - Medicaid	79,613,600	476,888,523	12,981,891	90,874,466	92,595,491	87,100,537		
/ Title AIA - Medicald		4/0,000,323	12,901,091	90,074,400	92,393,491			
8. Other health	0	0	0	0	0	0		
9. Health subtotal (Lines 1 to 8)	85,433,594	510,295,836	13,407,943	99,995,450	98,841,537	94,932,263		
10. Healthcare receivables (a)	5,537,141	1,675,779	0	560,407	5,537,141	9,244,949		
		. ,		,	. ,			
11. Other non-health	0	0	0	0	0	0		
5								
12. Medical incentive pools and bonus amounts	1,258,229	319,758	0	2,633,390	1,258,229	1,561,142		
12. Iniedical incentive pools and bottus amounts	1,230,229		0	2,000,080	1,230,229	1,301,142		
	04 454 000	500 000 045	10 107 010	400 000 400	04 500 005	07.040.450		
13. Totals (Lines 9-10+11+12)	81,154,682	508,939,815	13,407,943	102,068,433	94,562,625	87,248,456		

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The financial statements of UnitedHealthcare Community Plan, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the State of Michigan Department of Insurance and Financial Services (the "Department").

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Michigan, for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Michigan Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the financial statement.

(1-8) No significant differences exist between the practices prescribed or permitted by the State of Michigan and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net (loss) income and capital and surplus as illustrated in the table below (in thousands):

	State of Domicile		
NET (LOSS) INCOME			
(1) Company state basis	Michigan	\$ (4,790)	\$ 4,193
(2) State Prescribed Practices that increase/(decrease) NAIC SAP: None	Michigan		
(3) State Permitted Practices that increase/(decrease) NAIC SAP: None	Michigan	<u>-</u>	
(4) NAIC SAP	Michigan	\$ (4,790)	\$ 4,193
SURPLUS			
(5) Company state basis	Michigan	\$ 67,647	\$ 70,733
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: None	Michigan		
(7) State Permitted Practices that increase/(decrease) NAIC SAP: None	Michigan	<u>-</u>	
(8) NAIC SAP	Michigan	\$ 67,647	\$ 70,733

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

(1–5) No significant change.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from an external data source vendor.
- (2) The Company did not recognize any other-than-temporary impairments on mortgage-type investments as of September 30, 2013.
- (3) As of September 30, 2013, the Company did not have mortgage-type investments with any other-than-temporary impairment to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position at September 30, 2013 and December 31, 2012 (in thousands):

	Septembe	er 30, 2013
The aggregate amount of unrealized losses: 1. Less than 12 months 2. 12 Months or longer	\$	83
The aggregate related fair value of securities with unrealized losses: 1. Less than 12 months 2. 12 Months or longer		3,763
	Decembe	r 31, 2012
The aggregate amount of unrealized losses:		
Less than 12 months 12 Months or longer	\$	- -
The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 months		532
2. 12 Months or longer		-

(5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2013 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any repurchase agreements and/or securities lending transactions.

F. Real Estate

No significant change.

G. Investments in Low-Income Housing Tax Credits

No significant change.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A-B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–F. No significant change.

9. INCOME TAXES

A–G. No significant change.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A-L. Material Related Party Transactions

Effective June 1, 2013, the Company entered into a revised management agreement with United HealthCare Services, Inc. ("UHS"). This agreement has been approved by the Department. UHS will continue to provide management services to the Company under a revised fee structure which is changing from a percentage of premiums to a direct charge based on UHS' expenses for services or use of assets provided to the Company.

11. **DEBT**

A-B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–F. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, or compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of UHS, which provides services to the Company under the terms of a management agreement.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

- (1–3) No significant change.
- (4) On June 25, 2013, the Company received a capital infusion of \$2,000,000 from AmeriChoice Corporation, the Company's parent, which was recorded as an increase to gross paid-in and contributed surplus.

(5-13) No significant change.

14. CONTINGENCIES

A–E. No significant change.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1-4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

- **A.** The Company did not have any transfers of receivables reported as sales as of September 30, 2013 or December 31, 2012.
- **B.** The Company did not have any transfer and servicing of financial assets as of September 30, 2013 or December 31, 2012.
- **C.** No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2013 or the year ended December 31, 2012.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A–B. No significant change.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a payable in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of approximately \$1,673,000 and \$1,048,000 as of September 30, 2013 and December 31, 2012, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a payable of approximately \$2,000 and \$3,000 as of September 30, 2013 and December 31, 2012, respectively, for the Medicare Part D Coverage Gap Discount Program in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a thirdparty pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability

A. Fair Value

- (1) The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at September 30, 2013 and December 31, 2012.
- (2) The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value.
- **B.** Fair Value Combination Not applicable.
- **C.** The aggregate fair value by hierarchy of all financial instruments as of September 30, 2013 and December 31, 2012, is presented in the table below (in thousands):

Types of Financial Investment	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practical Carrying Value
Bonds Common stock Perpetual preferred stock Mortgage loans	\$ 180,688 - - -	\$ 180,170 - - -	\$ 115,228 - - -	\$ 65,460 \$ - - -	- - - -	\$ - - - -
			Decemb	er 31, 2012		
Types of Financial Investment	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practical Carrying Value
Bonds Common stock Perpetual preferred stock Mortgage loans	\$ 160,436 - - -	\$ 158,926 - - -	\$ 97,649 - - -	\$ 62,787 \$ - - -	- - - -	\$ - - - -

Included as Level 1 in bonds in the fair value hierarchy table above are money market instruments of approximately \$96,016,000 and \$78,131,000 as of September 30, 2013 and December 31, 2012, respectively. Commercial paper of approximately \$6,024,000 and \$8,394,000 is included as Level 2 in bonds in the fair value hierarchy table above as of September 30, 2013 and December 31, 2012, respectively. Commercial paper and money market instruments are reflected in cash and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

A-H. No significant change.

The Company elected to use rounding in reporting amounts in the notes to statutory basis financial statements.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–C. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–B. No significant change.

- C. The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D earned premiums subject to retrospective rating was approximately \$4,846,000 and \$4,887,000 representing 0.72% and 0.56% of total direct premiums written as of September 30, 2013 and December 31, 2012, respectively.
- **D.** Pursuant to the Health Reform Legislation, the Company does not have any business subject to specific minimum loss ratio requirements as of September 30, 2013 and December 31, 2012.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts and health care receivable at September 30, 2013 and December 31, 2012 (in thousands):

September 30, 2012

	36	spiember 30, zu	112
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve Paid claims, net of health care receivables and	\$ -	\$ (96,493)	\$ (96,493)
reinsurance recoveries collected	510,942	86,961	597,903
End of year claim reserve	102,629	13,408	116,037
Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below	613,571	3,876	617,447
Beginning of year health care receivables and reinsurance recoverables End of year health care receivables	-	9,356	9,356
and reinsurance recoverables	(2,563)	(5,917)	(8,480)
Total incurred claims	\$ 611,008	\$ 7,315	\$ 618,323
	D	ecember 31, 20	12
	Current Year Incurred	ecember 31, 20 Prior Years Incurred	12
	Current Year	Prior Years	12 Total
Beginning of year claim reserve Paid claims, net of health care receivables and	Current Year Incurred	Prior Years Incurred	
• • •	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Paid claims, net of health care receivables and	Current Year Incurred Claims	Prior Years Incurred Claims \$ (95,318)	Total \$ (95,318)
Paid claims, net of health care receivables and reinsurance recoveries collected	Current Year Incurred Claims \$ - 694,967	Prior Years Incurred Claims \$ (95,318) 72,886	Total \$ (95,318) 767,853
Paid claims, net of health care receivables and reinsurance recoveries collected End of year claim reserve Incurred claims excluding the change in health care receivables	Current Year Incurred Claims \$ - 694,967 87,651	Prior Years Incurred Claims \$ (95,318) 72,886 8,842	Total \$ (95,318) 767,853 96,493
Paid claims, net of health care receivables and reinsurance recoveries collected End of year claim reserve Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below Beginning of year health care receivables and reinsurance recoverables	Current Year Incurred Claims \$ - 694,967 87,651	Prior Years Incurred Claims \$ (95,318) 72,886 8,842 (13,590)	Total \$ (95,318) 767,853 96,493 769,028

The liability for claims unpaid, accrued medical incentive pool and bonus amounts and health care receivables as of December 31, 2012 was approximately \$87,137,000. As of September 30, 2013, approximately \$86,961,000 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now approximately \$7,491,000 as

a result of re-estimation of unpaid claims. Therefore, there has been approximately \$7,315,000 unfavorable prior year development since December 31, 2012 to September 30, 2013. The primary drivers consist of unfavorable development of approximately \$11,053,000 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, offset by favorable development as a result of a change in the provision for adverse deviations in experience of approximately \$3,733,000. At December 31, 2012, the Company recorded approximately \$4,199,000 of favorable development. The primary drivers consist of favorable development as a result of a change in provision for adverse deviations in experience of approximately \$3,782,000 and approximately \$234,000 favorable retroactivity for pharmacy rebates. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this development is the impact related to retrospectively rated policies. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies, and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses ("CAE") of approximately \$16,565,000 and \$27,790,000 for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement (see Note 10). The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the nine months ended September 30, 2013 and the year ended December 31, 2012 (in thousands):

	Ser	2013	De	cember 31, 2012
Total claims adjustment expenses incurred Less current year unpaid claims adjustment expenses Add prior year unpaid claims adjustment expenses	\$	16,565 (996) 1,331	\$	27,790 (1,331) 1,271
Total claims adjustment expenses paid	\$	16,900	\$	27,730

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A. Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For pharmacy rebates that meet the admissibility criteria, the collection history of pharmacy rebates is summarized as (in thousands):

Quarter	PI Re Re	stimated harmacy ebates as ported on inancial atements	Re B Ot	narmacy bates as illed or herwise onfirmed	Re Wi	Actual ebates eceived ithin 90 ays of Billing	R Re Wit 180	Actual ebates eceived hin 91 to Days of Billing	Rece Than	al Rebates ived More 180 Days er Billing
9/30/2013 6/30/2013	\$	2,310 1,613	\$	- 1,524	\$	- 651	\$	-	\$	-
3/31/2013		1,430		1,789		590		986		-
12/31/2012		1,732		1,905		758		662		389
9/30/2012 6/30/2012		1,661 1,550		1,724 1,717		752 676		910 934		56 82
3/31/2012		1,356		1,617		534		637		400
12/31/2011 9/30/2011		1,285 809		1,440 1,318		566 536		842 606		25 166
6/30/2011		761		1,145		517		569		44
3/31/2011		532		907		404		162		309
12/31/2010		-		1		-		-		1

Of the amount reported as health care receivables, approximately \$2,733,000 and \$2,243,000 relates to pharmaceutical rebate receivables as of September 30, 2013 and December 31, 2012, respectively. This increase is primarily due the change in generic/name brand mix.

B. No significant change.

The Company also admitted approximately \$2,822,000 and \$5,051,000 as of September 30, 2013 and December 31, 2012, respectively, for maternity case receivables from the Department of Community Health, moved from uncollected premiums per the State of Michigan. In 2012, admitted health care receivables also included approximately \$2,000 for plan to plan receivables.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The following table summarizes the Company's premium deficiency reserves as of September 30, 2013 and December 31, 2012:

	Septembe	r 30, 2013
1. Liability carried for premium deficiency reserves	\$	-
2. Date of the most recent evaluation of this liability	9/30/2	2013
3. Was anticipated investment income utilized in this calculation?	Yes X	No
Liability carried for premium deficiency reserves	December	r 31, 2012 -
2. Date of the most recent evaluation of this liability	12/31/	/2012
3. Was anticipated investment income utilized in this calculation?	Yes X	No

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1	Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?	Yes [] No [X]
1.2	If yes, has the report been filed with the domiciliary state?	Yes [] No []
2.1	Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?	Yes [] No [X]
2.2	If yes, date of change:	
3.1	Have there been any substantial changes in the organizational chart since the prior quarter end?	Yes [X] No []
3.2	If the response to 3.1 is yes, provide a brief description of those changes. July 2, 2013 Optum Nevada Accountable Care Organization LLC, a Delaware limited liability company, was formed as a wholly owned subsidiary of Collaborative Care Holdings, LLC, a Delaware limited liability company.	
	July 4, 2013 Amil Participações S.A., a Brazilian joint stock company, and Mind Solutions, S.A., a Brazilian joint stock company, simultaneously merged with and into Amil Assistência Médica Internacional S.A. This resulted in Amico Saúde Ltda. becoming a direct subsidiary of Amil Assistência Médica Internacional. It also resulted in Polar II Fundo de Investimento em Participações owning 90.23% of Amil Assistência Médica Internacional S.A.	
	July 17, 2013 Optum Rocket, Inc., a Delaware corporation, was incorporated.	
	July 17, 2013 Rocket RCM, LLC, a Delaware limited liability company, was formed.	
	July 18, 2013 FrontierMedex Kenya Limited, a Kenyan company, was incorporated with FrontierMEDEX Limited, a United Kingdom company, having a 99.9% ownership interest and a director of the company owning the remaining 0.1%.	
	July 29, 2013 Picis, Inc. acquired 100% of the capital stock of Optum Rocket, Inc.	
	July 30, 2013 Optum Health & Technology Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.	
	July 30, 2013 Optum Solutions & Services Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.	
	July 31, 2013 Axolotl Corp., a Delaware corporation, merged with and into OptumInsight, Inc., a Delaware corporation.	
	July 31, 2013 Hospice Inspiris, LLC, a Tennessee limited liability company, merged with and into For Health of Arizona, Inc., an Arizona corporation.	
	July 31, 2013 Independent Physician Management Services, Inc., a Delaware corporation, merged with and into Monarch Management Services, Inc., a Delaware corporation.	
	July 31, 2013 Plus One Holdings, Inc., a Delaware corporation, was acquired as a wholly owned subsidiary of OptumHealth Care Solutions, Inc. Plus One Holdings, Inc. owns 100% of the interests in Plus One Health Management Inc. Puerto Rico, a Puerto Rico corporation.	
	August 14, 2013 Exlogs HSE Inc., a Canadian (Alberta) corporation was dissolved.	
	August 15, 2013 New Lynx Medical Systems, LLC, a Washington limited liability company, was formed as a wholly owned subsidiary of Optum Rocket, Inc.	
	August 19, 2013 Canadian Health Group International Ltd., a Canadian (Alberta) corporation, was dissolved. This resulted in FrontierMEDEX Canada Limited becoming a wholly owned subsidiary of FrontierMEDEX Canada Holdings Ltd.	
	August 21, 2013 Physicians Health Choice of New Mexico, Inc. was dissolved.	
	August 21, 2013 Rocket RCM, LLC changed its name to Optum360, LLC.	
	August 22, 2013 ScriptSwitch Holdings Limited changed its name to Optum UK Solutions Group Limited.	
	August 22, 2013 Ingenix UK Holdings Limited changed its name to Optum Solutions UK Holdings Limited.	
	August 22, 2013 DPCA, Inc., a Delaware corporation, was formed as a wholly owned subsidiary of UnitedHealth Group Ventures, LLC.	
	August 26, 2013 LighthouseMD, Inc., a Rhode Island corporation, merged with and into OptumInsight, Inc., a Delaware corporation.	
	August 26, 2013 Picis, Inc. contributed its ownership interest in Ingram & Associates, LLC, and 100% of the respective capital stock of A-Life Medical, Inc., CareMedic Systems, Inc. and Lynx Medical Systems, Inc., to Optum Rocket, Inc.	
	August 26, 2013 Lynx Medical Systems, Inc., a Washington corporation, merged with and into New Lynx Medical Systems, LLC, a Washington limited liability company, with the surviving entity of the merger adopting the name Lynx Medical Systems, LLC.	
	August 30, 2013 Orion Participações e Administração Ltda., a Brazilian company, merged with and into Esho - Empresa de Serviços Hospitalares S.A., a Brazilian company.	
	August 30, 2013 Ceame Centro Especializado de Atendimento Médico Ltda, a Brazilian company, merged with and into Cemed Care Empresa de Atendimento Clinico Geral Ltda., a Brazilian company.	
	August 31, 2013 ProcessWorks, Inc., a Wisconsin corporation, was merged with and into Southwest Michigan Health Network Inc., a Michigan corporation.	
	September 1, 2013 Optum Rocket, Inc. contributed 100% of its ownership interest in the following entities to Optum360, LLC: Ingram & Associates, LLC; Lynx Medical Systems, LLC; A-Life Medical, LLC; and CareMedic Systems, LLC.	
	September 19, 2013 Optum Labs Dimensions, Inc., a Delaware non-stock corporation, was formed as a wholly owned subsidiary of Optum Labs, Inc., a Delaware corporation.	
	September 30, 2013 Savvysherpa Asia, LLC, a Minnesota limited liability company, merged with and into United HealthCare Services, Inc., a	
4.1	Minnesota corporation. Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?	Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

Optum Bank, Inc.

5.	If the reporting entity is subject to a management agreement, includir in-fact, or similar agreement, have there been any significant change If yes, attach an explanation.			X] No [] N/A []
	A new Management Services Agreement with United HealthCare Ser	rvices, Inc. has been approved on May 13, 2013.			
6.1	State as of what date the latest financial examination of the reporting	entity was made or is being made.		12/31	1/2010
6.2	State the as of date that the latest financial examination report becan date should be the date of the examined balance sheet and not the			12/31	1/2010
6.3	State as of what date the latest financial examination report became the reporting entity. This is the release date or completion date of th date).	ne examination report and not the date of the examination (balance	sheet	03/20	0/2012
6.4	By what department or departments? State of Michigan Department of Insurance and Financial Services				
6.5	Have all financial statement adjustments within the latest financial ex statement filed with Departments?	amination report been accounted for in a subsequent financial	Yes [] No [] N/A [X]
6.6	Have all of the recommendations within the latest financial examination	on report been complied with?	Yes [] No [] N/A [X]
7.1	Has this reporting entity had any Certificates of Authority, licenses or revoked by any governmental entity during the reporting period?			Yes []	No [X]
7.2	If yes, give full information:				
8.1	Is the company a subsidiary of a bank holding company regulated by	the Federal Reserve Board?		Yes []	No [X]
8.2	If response to 8.1 is yes, please identify the name of the bank holding	g company.			
8.3	Is the company affiliated with one or more banks, thrifts or securities	firms?		Yes [X]	No []
8.4	If response to 8.3 is yes, please provide below the names and locatic regulatory services agency [i.e. the Federal Reserve Board (FRB), the Insurance Corporation (FDIC) and the Securities Exchange Commission (FDIC) (FDIC	he Office of the Comptroller of the Currency (OCC), the Federal De			
	1	2 3 4	5	6	
	Affiliate Name	Location (City, State) FRB OCC	C FDIC	SEC	

Salt Lake City, Utah

....NO......NO.....

YES....

....NO....

GENERAL INTERROGATORIES

9.1	Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	Yes [X] No []
9.11	If the response to 9.1 is No, please explain:	
9.2	Has the code of ethics for senior managers been amended?	Yes [X] No []
9.21	If the response to 9.2 is Yes, provide information related to amendment(s).	
	In Q3 2013, non-material modifications were made to the UnitedHealth Group Code of Conduct.	
9.3 9.31	Have any provisions of the code of ethics been waived for any of the specified officers?	. Yes [] No [X]
10.1 10.2	FINANCIAL Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? If yes, indicate any amounts receivable from parent included in the Page 2 amount:	
11.1 11.2	use by another person? (Exclude securities under securities lending agreements.) If yes, give full and complete information relating thereto:	. Yes [] No [X]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:	0
13. 14.1	Amount of real estate and mortgages held in short-term investments:	
	If yes, please complete the following:	res [] NO [A]
	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
	Bonds \$ 0	\$0
	Preferred Stock	\$0
	Common Stock \$ 0	\$0
	Short-Term Investments \$ 0	\$0
	Mortgage Loans on Real Estate \$ 0 All Other \$ 0	\$0 \$0
	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)\$0	\$0 \$0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0 \$0
15.1	Has the reporting entity entered into any hedging transactions reported on Schedule DB?	Yes [] No [X]
15.2	If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?	
	If no, attach a description with this statement	

GENERAL INTERROGATORIES

10.		namy program, state the amount of th	•				
	16.1 Total fair valu	e of reinvested collateral assets repor	ted on Sched	ule DL, Parts 1	and 2	\$	
	16.2 Total book ad	ljusted/carrying value of reinvested co	llateral assets	reported on Sc	hedule DL, Parts 1 and 2	\$	
	16.3 Total payable	for securities lending reported on the	liability page.			\$	
17. 17.1	offices, vaults or safety deposit bo custodial agreement with a qualifie Outsourcing of Critical Functions,	ort 3 - Special Deposits, real estate, mo exes, were all stocks, bonds and other ed bank or trust company in accordan Custodial or Safekeeping Agreements on the requirements of the NAIC Finance	securities, ow ce with Sections of the NAIC	ned throughout on 1, III - Genera Financial Condi	the current year held pursuant to a all Examination Considerations, F. tion Examiners Handbook?		[X] No []
		1			2		
	Name of	Custodian(s)			Custodian Address		
	Northern Trust		50 S. LaSal	le, Chicago, Il	_ 60675		
			Global Liqu	idity Services,	1 Wall Street, 14th Floor, New Yor	k, NY	
17.2	location and a complete explanation	2	Financial Cor		3		
	Name(s)	Location(s)		(Complete Explanation(s)		
17.3 17.4	If yes, give full information relating t	2		3	4	Yes [] No [X]
	Old Custodian	New Custodian	Dat	e of Change	Reason		
17.5	handle securities and have author 1 Central Registration Depository 107038	kers/dealers or individuals acting on bit to make investments on behalf of the 2 Name(s) JPMorgan Investment Management, In Wellington Management Company, LLP	ne reporting e	ntity: 245 Park Aveni	3 Address ue, New York, NY 10167		
18.1 18.2		he Purposes and Procedures Manual		Ů			[X] No []

GENERAL INTERROGATORIES

PART 2 - HEALTH

Operating Percentages:

	1.1 A&H loss percent				93	3.3
	1.2 A&H cost containment percent				1	1.2
	1.3 A&H expense percent excluding cost containment expenses					7.9
2.1	Do you act as a custodian for health savings accounts?		Yes [] No	[X]	
2.2	If yes, please provide the amount of custodial funds held as of the reporting date	\$				0
2.3	Do you act as an administrator for health savings accounts?		Yes [] No	[X]	
2 /	If we please provide the halance of the funds administered as of the reporting date	¢				0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC	2	3	Snowing All New Reinsurance Treaties 4	5	6 7 Type of Is Insurer
NAIC	_			_	Type of Is Insurer
Compony	Endoral	Effoctivo			Poincurance Authorized?
Company Code	Federal ID Number	Effective Date	Name of Delegation	Descriptions businesses	Reinsurance Authorized? Ceded (Yes or No)
Code	ID Number	Date	Name of Reinsurer	Domiciliary Jurisdiction	Ceded (Yes or No)
	· · · · · · · · · · · · · · · · · · ·				
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SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

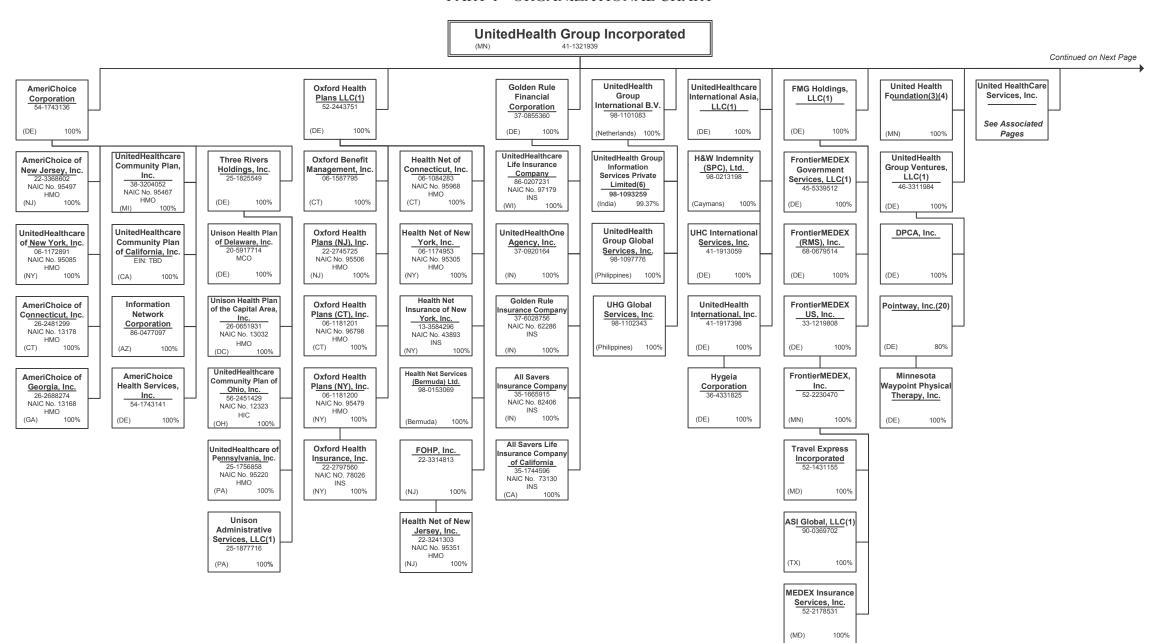
I		1	Current Ye	ear to Date - A	Allocated by S					
		1	2	3	4	Direct Bus	iness Only 6	7	8	9
	States, etc.	Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama AL	N.	0	0	0	0	0	0	0	0
2.	Alaska AK	N	0	0	0	0	0	0	0	0
3.	Arizona AZ	N.	0	0	0	0	0	0	0	0
4.	Arkansas AR	N.	0	0	0	0	0	0	0	0
5.	California CA	N.	0	0	0	0	0	0	0	0
6.	Colorado CO	N	0	0	0	0	0	0	0	0
7.	Connecticut CT	N	0	0	0	0	0	0	0	0
8.	Delaware DE	N	0	0	0	0	0	0	0	0
9.	District of Columbia DC	N	0	0	0	0	0	0	0	0
10.	Florida FL	N.	0	0	0	0	0	0	0	0
11.	Georgia GA	N	0	0	0	0	0	0	0	0
12.	Hawaii HI	N.	0	0	0	0	0	0	0	0
13.	Idaho ID	N.	0	0	0	0	0	0	0	0
14.	Illinois IL	N	0	0	0	0	0	0	0	0
15.	Indiana IN	N	0	0	0	0	0	0	J0	J0
16.	lowa IA	N	0	0	0	0	0	0	0	J0
17.	Kansas KS	lN	0	0	0	0	0	0	0	0
18.	Kentucky KY	N	0	0	0	0	0	0	0	0
19.	Louisiana LA	N	0	0	0	0	0	0	0	0
20.	Maine ME	N	0	0	0	0	0	0	ļ0	ļ0
21. 22.	Maryland MD Massachusetts MA	NN.	0	0	0	0 0	0	0 0	0	0
22.	Michigan MA	N		45,045,005	626,316,686	 0	 0	0 0	672,134,803	ļ
24.	Minnesota MN	N N		65,045,005	020,310,000	0	0	0	012, 104,003 n	n
25.	Mississippi MS	N	0	 N	0	0	0	0	n	n
26.	Missouri MO	N	0	0	0	0	0	0	0	n
27.	Montana MT	N	0	0	0	0	0	0	0	0
28.	Nebraska NE	N	0	0	0	0	0	0	0	0
29.	Nevada NV	N	0	0	0	0	0	0	0	0
30.	New Hampshire NH	N	0	0	0	0	0	0	0	0
31.	New Jersey NJ	N	0	0	0	0	0	0	0	0
32.	New Mexico NM	N	0	0	0	0	0	0	0	0
33.	New York NY	N	0	0	0	0	0	0	0	0
34.	North Carolina NC	N	0	0	0	0	0	0	0	0
35.	North Dakota ND	N	0	0	0	0	0	0	0	0
36.	Ohio OH	N	0	0	0	0	0	0	0	ļ0
37.	Oklahoma OK	N	0	0	0	0	0	0	0	10
38. 39.	Oregon OR Pennsylvania PA	NN.	0	0 0	0	0 0	0	0 	0	10
39. 40.	Rhode Island RI	NN.	0	0 0	0	0 0	0 0	0 0	0	ļ
41.	South Carolina SC	N	0	0	0	0	0	0 0	0	0
42.	South Dakota SD	N	0	0	0	0	0	0 0	0	0
43.	Tennessee TN	N	0	0	0	0	0	0	0	0
44.	Texas TX	N	0	0	0	0	0	0	0	0
45.	Utah UT	N	0	0	0	0	0	0	0	0
46.	Vermont VT	N	0	0	0	0	0	0	0	0
47.	Virginia VA	N	0	0	0	0	0	0	0	0
48.	Washington WA	N	0	0	0	0	0	0	0	0
49.	West Virginia WV	N	0	0	0	0	0	0	0	0
50.	Wisconsin WI	N	0	0	0	0	0	0	0	0
51.	Wyoming WY	N	0	0	0	0	0	0	0	0
52.	American Samoa AS	N	0	0	0	0	0	0	0	0
53. 54.	Guam GU Puerto Rico PR	NN	0	0 0	0	0	0	0 	0	0
54. 55.	U.S. Virgin Islands VI	NN.	0	0 0	0	0	0 0	0 0	0	0
56.	Northern Mariana	IN	†		U	ע	ν	U	J	J
] 50.	Islands MP	N	0	0	0	0	0	0	0	0
57.	Canada CAN	N	0	0	0	0	0	0	0	0
58.	Aggregate Other	VVV	_	۸	0	0		^	^	^
59.	Aliens OT Subtotal	XXX XXX	0 773,112	45,045,005	0	0	0	0 0	672, 134, 803	0
60.	Reporting Entity Contributions for Employee			0	020,310,080	0	0	0	672, 134,803	0
61.	Benefit Plans Totals (Direct Business) DETAILS OF WRITE-INS	(a) 1	773,112	45,045,005	626,316,686	0	0	0	672,134,803	0
58001.		XXX								_
58002.		XXX								
58003.		XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58									
I) Licen	above) sed or Chartered - Licensed Ins	urance Carrier	or Domiciled RRG	0 G; (R) Registered	- Non-domiciled	0 RRGs; (Q) Quali	0 fied - Qualified or	Accredited Reir	osurer: (E) Eligible	0 Poporting

⁽L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

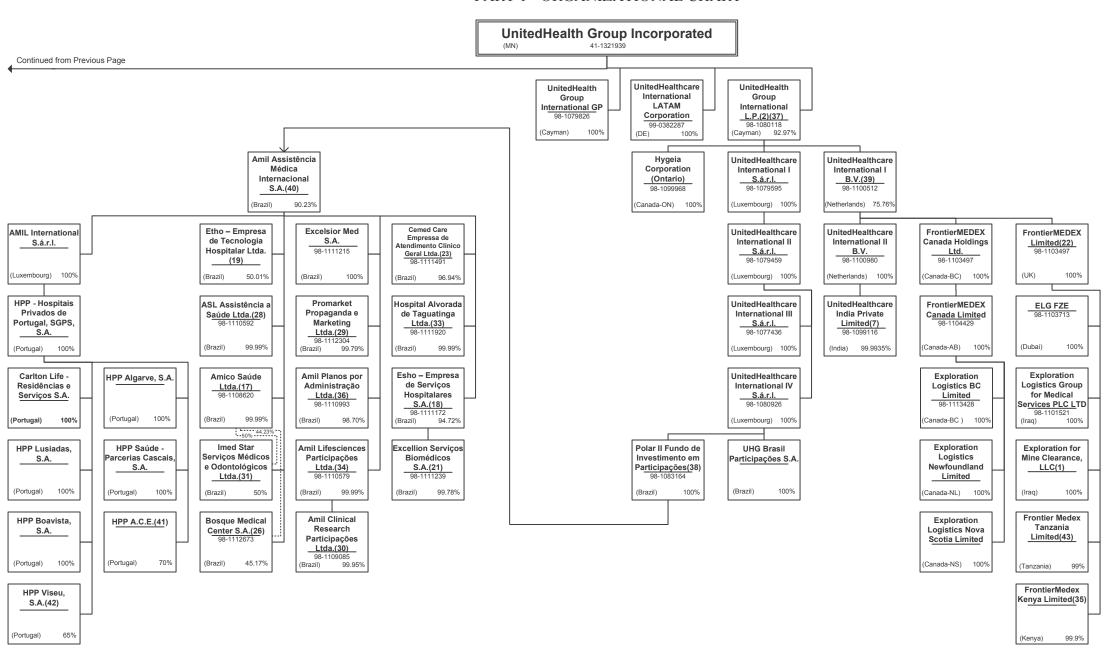
(a) Insert the number of L responses except for Canada and Other Alien.

Premiums allocated by state based upon Geographic Market.

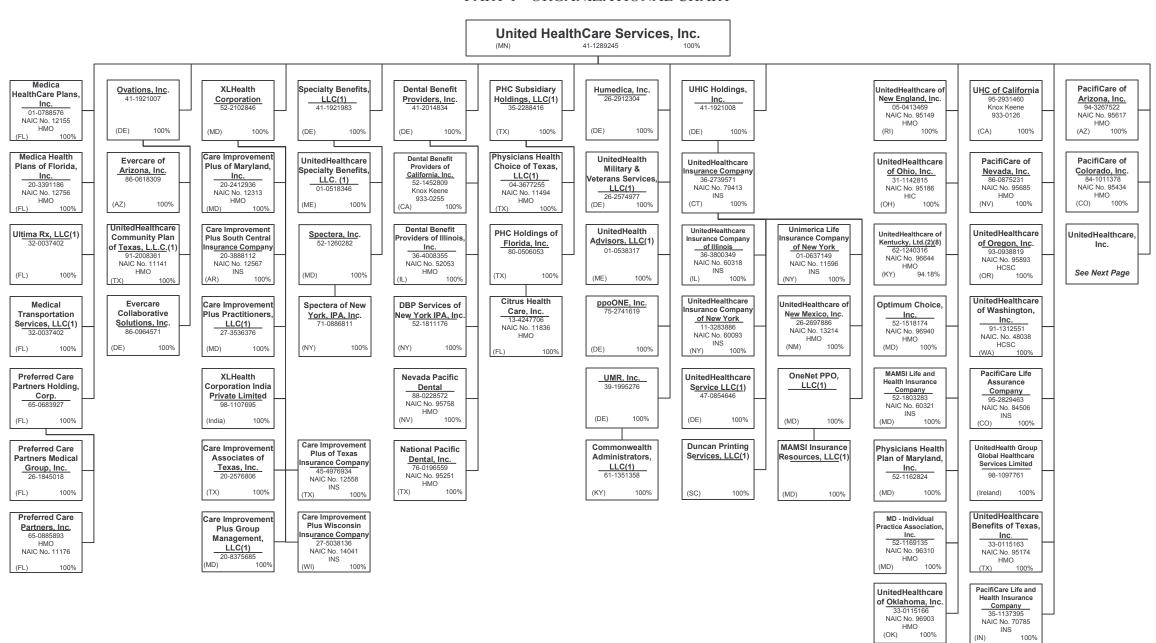
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



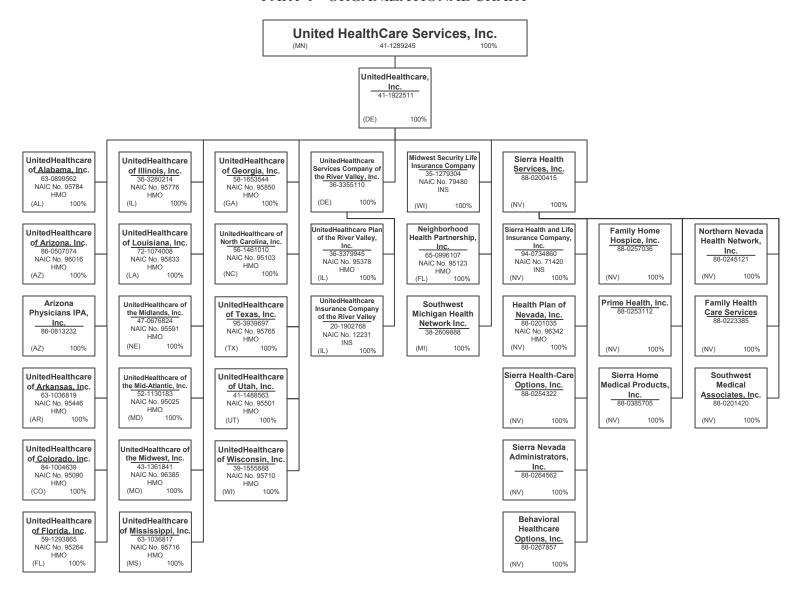
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



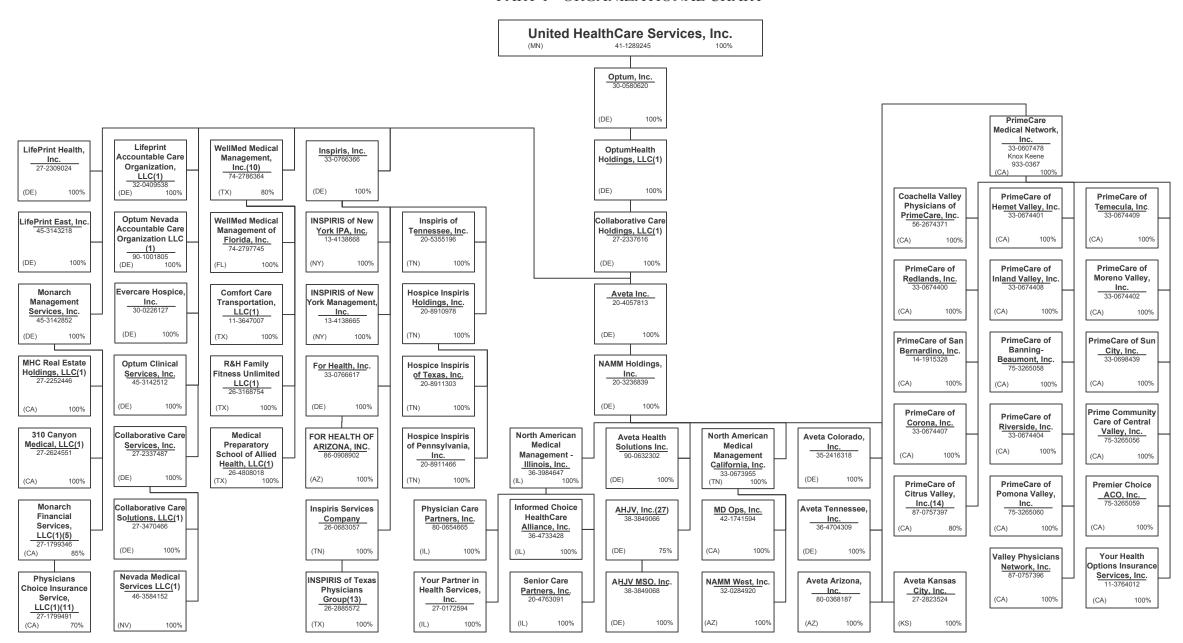
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



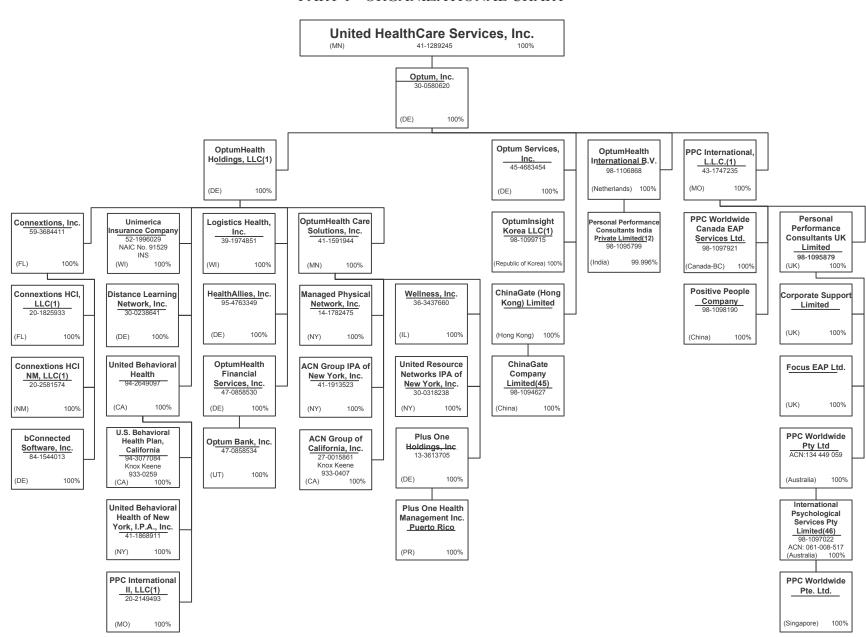
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



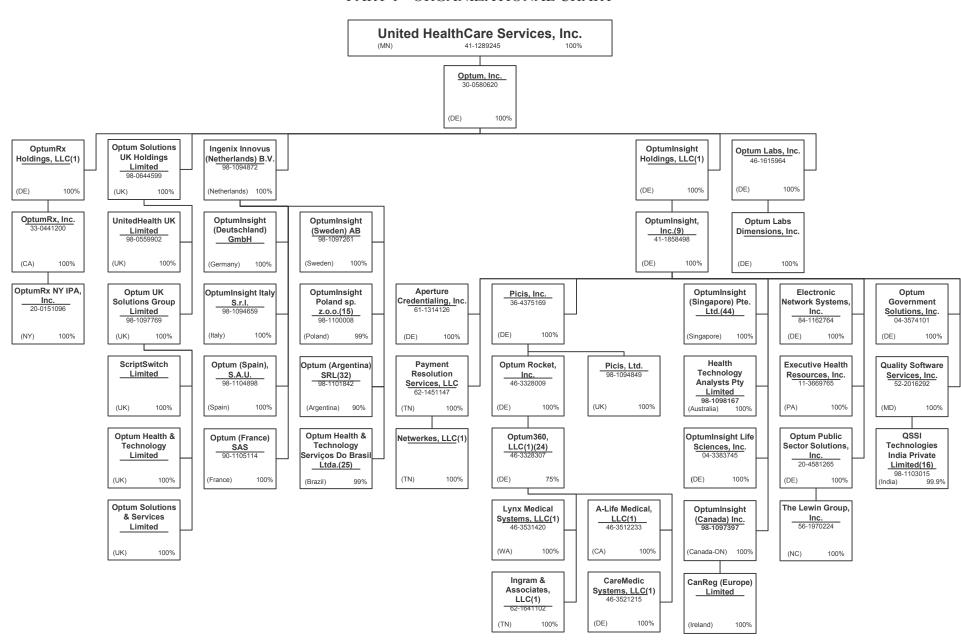
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) Monarch Financial Services, LLC is 85% owned by Monarch Management Services, Inc. and 15% owned by external shareholders.
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International. Inc.
- (7) United Healthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthCare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthCare, Inc. owns 5.83%.
- (9) Branch office located in Abut Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Physicians Choice Insurance Service, LLC is 70% owned by Monarch Financial Services, LLC and 30% owned by external shareholders.
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.
- (16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.
- (17) Amico Saúde Ltda. is 99.99% owned by Amil Participações S.A. and the remaining percent is owner by and officer of Amil.

- (18) Esho Empresa de Serviços Hospitalares S.A is 94.72% owned by Amil Assistência Médica Internacional S.A.; 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.
- (19) Etho Empresa de Technologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A.and 49.99% owned by an external shareholder.
- (20) Waypoint Holdings is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
- (21) Excellion Serviços Biomédicos S.A.is 99.78% owned by Esho Empresa de Serviços Hospitalares S.A and 0.22% owned by external shareholders.
- (22) Branch offices in Iraq and Uganda and Representative office in Beijing.
- (23) Cemed Care Empressa de Atendimento Clínico Geral Ltda. Is 96.94% owned by Amil Assistência Médica Internacional S.A., 2.54% owned by Amico Saúde Ltd. and 0.53% owned by ASL Assistência a Saúde Ltda.
- (24) Optum 360, LLC is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.
- (25) Optum Health & Technology Serviços Do Brasil Ltda. is 99% owned by Ingenix Innovus (Netherlands) B.V. and 1 % owned by OptumInsight, Inc.
- (26) Bosque Medical Center S.A. is 45.17% owned by Amil Assistência Médica Internacional S.A.; 44.23% owned by Amico Saúde Ltd. and 10.60% owned by Esho – Empresa de Serviços Hospitalares S.A..
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc
- (28) ASL Assistência a Saúde Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.
- (29) Promarket Propaganda e Marketing Ltda.is 99.79% owned by Amil Assistência Médica Internacional S.A and 0.21% owned by Amico Saúde Ltd.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.
- (31) Imed Star Serviços Médicos e Odontológicos Ltda.is 50% owned by Amil Assistência Médica Internacional S.A and 50% owned by Amico Saúde Ltd.
- (32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.
- (33) Hospital Alvorada Taguatinga Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and the remaining percent is owned by an officer of Amil.

- (34) Amil Lifesciences Participações Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.
- (35) FrontierMedex Kenya Limited is 99.9% owned by FrontierMEDEX Limited and 0.1% owned by a director of Frontier Medex Kenya Limited.
- (36) Amil Planos por Administração Ltda. is 98.70% owned by Amil Assistência Médica Internacional S.A and 1.30% owned by Amico Saúde Ltd.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (1.5228%), Hygeia Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Participações S.A.
- (41) HPP A.C.E. is 70% owned by HPP Hospitais Privados de Portugal, SGPS, S.A. The remaining 30% is owned by (1) HPP Boavista, S.A., (2) HPP Lusiadas, S.A., (3) HPP Algarve, S.A., (4) HPP Saúde - Parcerias Cascais, S.A., and (5) HPP Viseu, S.A.; each owning 6%.
- (42) HPP Viseu, S.A. is 65% owned by HPP Hospitais Privados de Portugal, SGPS, S.A.. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A., VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.
- (43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.
- (44) Branch office located in Taiwan
- (45) Liaison office located in Beijing.
- (46) Branch office located in Hong Kong.

SCHEDULE Y

				1 /1	<u> </u>	DEIAIL	. 01 1110011741101	<u> </u>	<u> </u>	NG COMPANY S				
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
											Туре	If		
											of Control	Control		
											(Ownership,	is		
						Name of Securities			Relation-		Board,	Owner-		
						Exchange		Domi-	ship		Management,	ship		
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
		00000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913523 .				ACN Group IPA of New York, Inc	NY	NI A	OptumHealth Care Solutions, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0015861 .				ACN Group of California, Inc.	CA	I A	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849068 .				AHJV MSO, Inc.	DE	NI A	AHJV, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849066 .				AHJV, Inc	CA	NIA	NAMM Holdings, Inc	Ownership	75.000	UnitedHealth Group Incorporated	5
		00000	46-3512233 .				A-Life Medical, LLC	CA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
_0707 Un	nitedHealth Group Incorporated	82406	35-1665915 _				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
							All Savers Life Insurance Company of							
0707 Un	nitedHealth Group Incorporated	73130	35-1744596				California	CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743136 .				AmeriChoice Corporation	DE	UDP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743141 .				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707 Un	nitedHealth Group Incorporated	13178	26-2481299 .				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707 Un	nitedHealth Group Incorporated	13168	26-2688274 .				AmeriChoice of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707 Un	nitedHealth Group Incorporated	95497	22-3368602 .				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
										Polar II Fundo de Investimento em				
		00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Participações	Ownership	99.990	UnitedHealth Group Incorporated	1 1
							Amil Assistência Médica Internacional S.A.			Polar II Fundo de Investimento em				
		00000						BRA	NI A	Participações	Ownership	90.230	UnitedHealth Group Incorporated	5
							Amil Clinical Research Participações Ltda.			. ,	•		·	
		00000	98-1109085 .				. ,	BRA	NI A	Amil Lifesciences Participações Ltda	Ownership	99.950	UnitedHealth Group Incorporated	11
										Amil Assistência Médica Internacional	•		·	
		00000					AMIL International S.á.r.I.	LUX	NIA	S.A	Ownership	100.000	UnitedHealth Group Incorporated	
										Amil Assistência Médica Internacional	·		·	
		00000	98-1110579 .				Amil Lifesciences Participações Ltda.	BRA	NIA	S.A	Ownership	99.990	UnitedHealth Group Incorporated	1
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		00000	98-1110993 .				Amil Planos por Administração Ltda.	BRA	NI A	S.A	Ownership	98.700	UnitedHealth Group Incorporated	8
		00000	98-1110993 .				Amil Planos por Administração Ltda	BRA	NIA	Amico Saúde Ltda.	Ownership	1.300	UnitedHealth Group Incorporated	8
		00000	61-1314126 .				Aperture Credentialing, Inc.	DE	NI A	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0813232 .				Arizona Physicians IPA, Inc.	AZ	I A	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0369702 .				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							·			Amil Assistência Médica Internacional	•		·	
		00000	98-1110592				ASL Assistência a Saúde Ltda.	BRA	NIA	S.A	Ownership	99.990	UnitedHealth Group Incorporated	1
		00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2416318				Aveta Colorado, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0632302 .				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4057813 .				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2823524 .				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4704309 .				Aveta Tennessee, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1544013 .				bConnected Software, Inc.	DE	NIA	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		1	1						1	Amil Assistência Médica Internacional			. ,	
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	S.A	Ownership	45. 170	UnitedHealth Group Incorporated	2
		00000	98-1112673 .				Bosque Medical Center S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	44.230	UnitedHealth Group Incorporated	2
										Esho — Empresa de Serviços Hospitalares	,		, , ,	
		00000	98-1112673 .				Bosque Medical Center S.A	BRA	NIA	S.A	Ownership	10.600	UnitedHealth Group Incorporated	2
		00000	l				CanReg (Europe) Limited	JRL	NIA	OptumInsight (Canada) Inc.	Ownership		UnitedHealth Group Incorporated	
							Care Improvement Associates of Texas, Inc.			, , , , , , , , , , , , , , , , , , , ,				
		00000	20-2576806 .		l		,	TX	NIA	XLHealth Corporation	Ownership		UnitedHealth Group Incorporated	
							Care Improvement Plus Group Management,			1	,		and the same of th	
		00000	20-8375685		l		LLC	MD	NIA	XLHealth Corporation	Ownership		UnitedHealth Group Incorporated	
0707 Un	nitedHealth Group Incorporated	12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership		UnitedHealth Group Incorporated	

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Group		Company	ID	Federal		(U.Š. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s) *
							Care Improvement Plus of Texas Insurance						
0707	UnitedHealth Group Incorporated	12558	45-4976934				Company	TX	I A	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated
		00000	27-3536376 .				Care Improvement Plus Practitioners, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated
							Care Improvement Plus South Central						
0707	UnitedHealth Group Incorporated	12567	20-3888112 .				Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated
							Care Improvement Plus Wisconsin Insurance						
0707	UnitedHealth Group Incorporated	14041	. 27-5038136 .				Company	WI	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated
		00000	46-3521215 .				CareMedic Systems, LLC.	CA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000					Carlton Life - Residências e Serviços S.A.	DDT		HPP - Hospitais Privados de Portugal,		100 000	
		00000					0	PRT	NIA	SGPS, S.A	Ownership	100.000	UnitedHealth Group Incorporated
		00000	00 444404				Cemed Care Empressa de Atendimento Clínico Geral Ltda.	DD 4	ALL A	Amil Assistência Médica Internacional S A	0h:	00.040	11. 14. 11. 14. 0 1
		00000	. 98–1111491 .				Cemed Care Empressa de Atendimento Clínico	BRA	NIA	S.A	Ownership	96.940	UnitedHealth Group Incorporated3
		00000	98-1111491				Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	2.540	UnitedHealth Group Incorporated 3
		00000	. 90-1111491 .				Cemed Care Empressa de Atendimento Clínico	pn#	NIA	Amirco Saude Lida.	Owner Strip	2.340	UnitedHealth Group Incorporated3
		00000	98-1111491				Geral Ltda.	BRA	NIA	ASL Assistência a Saúde Ltda.	Ownership	0.520	UnitedHealth Group Incorporated3
		00000	. 30-1111431 .				ChinaGate (Hong Kong) Limited	HKG		Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1094627				ChinaGate Company Limited	CHN		ChinaGate (Hong Kong) Limited	Ownership.	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	11836	. 13-4247706 .				Citrus Health Care, Inc.	FL		PHC Holdings of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0101	Jointednearth droup moorporated	11000	. 13 4247700 .				Coachella Valley Physicians of PrimeCare,			Into notatings of Frontax, Inc.	Owner strip		on tealearth droup moorporated
		00000	56-2674371 .				Inc	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership.	100.000	UnitedHealth Group Incorporated
		00000	27-2337487				Collaborative Care Services. Inc.	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	27-3470466				Collaborative Care Solutions, LLC	DE		Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	_100.000	UnitedHealth Group Incorporated
		00000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	20-2581574 .				Connextions HCI NM, LLC	NM	NI A	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	20-1825933 .				Connextions HCI, LLC	FL	NI A	Connextions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	59-3684411 .				Connextions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
										Personal Performance Consultants UK			
		00000					Corporate Support Limited	GBR	NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated
		00000	52-1811176 .				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							Dental Benefit Providers of California,						
		00000	52-1452809				Inc	CA	I A	Dental Benefit Providers, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							Dental Benefit Providers of Illinois, Inc.						
0707	UnitedHealth Group Incorporated	52053	. 36-4008355 .					IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	41-2014834 .				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 30-0238641 .				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000					DPCA, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	84-1162764				Duncan Printing Services, LLC Electronic Network Systems, Inc.	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1103713				ELG FZE	DE	NIA NIA	OptumInsight, Inc FrontierMEDEX Limited	Ownership		UnitedHealth Group Incorporated UnitedHealth Group Incorporated
		00000	20-1103/13				Esho — Empresa de Serviços Hospitalares		NIA	Amil Assistência Médica Internacional	owner sillp	100.000	on teunearth droup moorporated
		00000	98-1111172				S.A	BRA	NIA	Amii assistencia medica internacional	Ownership	94.720	UnitedHealth Group Incorporated
		00000	. 30-11111/2 .				Esho — Empresa de Serviços Hospitalares	nn	NI A	O.M	owner sillp		UnitedHealth Group Incorporated4
		00000	98-1111172				S.A	BRA	NIA	Amico Saúde Ltda.	Ownership	3.240	UnitedHealth Group Incorporated4
			. 30-1111112 .				Etho — Empresa de Tecnologia Hospitalar	Nnu	NIA	Amil Assistência Médica Internacional	Omitet 21116	3.240	om teunearth Group moorporated4
		00000					Ltda.	BRA	NIA	IS A	Ownership	50.010	UnitedHealth Group Incorporated 5
		00000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	30-0226127				Evercare Hospice. Inc.	DE		Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	86-0618309				Evercare of Arizona, Inc.	AZ		Ovations, Inc.	Ownership		UnitedHealth Group Incorporated
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Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
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		00000	98-1111239				Excellion Serviços Biomédicos S.A	BRA	NIA	S.A.	Ownership	99 780	UnitedHealth Group Incorporated	5
			. 30 1111233 .				Lacerrion derviços biomedicos S.A.		INI //	Amil Assistência Médica Internacional	Owner Strip		John tednearth droup moorporated	
		00000	98-1111215				Excelsior Med S.A.	BRA	NIA	S A	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	. 100.000	UnitedHealth Group Incorporated	
		00000	. 11 3003703 .				Exploration for Mine Clearance LLC	IRQ	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1113428				Exploration Logistics B.C. Ltd.	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 30-1110420				Exploration Logistics Group for Medical	VAI\	NI /\	I TOTTE TOTTE OF THE CONTROL OF THE	- Owner strip	100.000	John tednearth droup moorporated	
		00000	98-1101521				Services PLC LTD	JRQ	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 30-1101321 .				Exploration Logistics Newfoundland Limited	Inv	NI /\	Frontierwedex Enimited	Owner Strip		Jointednearth Group Incorporated	
		00000					Expression Logistics NewTouridiand Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	-				Exploration Logistics Nova Scotia Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership		UnitedHealth Group Incorporated	
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA NIA	Sierra Health Services, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-2430207				Find Holdings, LLC	VE	NIA	Personal Performance Consultants UK	_ ownership		John tedhearth droup incorporated	
		00000					Focus EAP Ltd.	_GBR	NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated	
			22-3314813				FOHP. Inc.	NJ	NIA	Oxford Health Plans LLC	Ownership		UnitedHealth Group Incorporated	
		00000					FOR HEALTH OF ARIZONA, INC.	AZ						
		00000	. 86-0908902 . . 33-0766617 .					AZ DE	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 33-0/6661/ .				For Health, Inc		NIA		Ownership		UnitedHealth Group Incorporated	
		00000	00 0070544					JZA	NIA	FrontierMEDEX Limited		99.000	UnitedHealth Group Incorporated	1
		00000	. 68-0679514 .				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.		NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1104429				FrontierMEDEX Canada Limited	CAN DE	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-5339512				FrontierMEDEX Government Services, LLC		NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMedex Kenya Limited	KEN GBR	NIA	FrontierMEDEX Limited	Ownership	99.900	UnitedHealth Group Incorporated	1
		00000					FrontierMEDEX Limited		NIA	UnitedHealthcare International I B.V	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-1219808 .				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2230470 .				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707		00000	37-0855360 .	0057000			Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	62286	37-6028756 .	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707		00000	98-0213198 . 13-3584296				H&W Indemnity (SPC), Ltd.	CYM NY	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	43893					Health Net Insurance of New York, Inc.		IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95968	. 06-1084283				Health Net of Connecticut, Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95351	. 22-3241303 .				Health Net of New Jersey, Inc.	NJ	IA		Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95305	. 06-1174953 .				Health Net of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707		00000	98-0153069				Health Net Services (Bermuda) Ltd.	BMU	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96342	. 88-0201035 .				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098167				Health Technology Analysts Pty Limited	AUS	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Hospice Inspiris of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911303 .				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
										Amil Assistência Médica Internacional			l	
		00000	. 98–1111920 .				Hospital Alvorada de Taguatinga Ltda	BRA	NIA	S.A	Ownership	99.990	UnitedHealth Group Incorporated	1
							HPP - Hospitais Privados de Portugal,	l		1			l	
		00000	-				SGPS, S.A.	PRT	NIA	Amil International S.á.r.I.	Ownership	100.000	UnitedHealth Group Incorporated	
		1						l		HPP - Hospitais Privados de Portugal,				
		00000	-	-			HPP A.C.E.	PRT	NIA	SGPS, S.A.	Ownership	70.000	UnitedHealth Group Incorporated	6
		00000	-	-			HPP A.C.E.	PRT	NIA	HPP Algarve, S.A	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000	.				HPP A.C.E.	PRT	NIA	HPP Lusiadas, S.A	Ownership	6.000	UnitedHealth Group Incorporated	6

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Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reportin	g Directly Controlled by	Influence,	Percen-	Ultimate Controlling
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		00000					HPP A.C.E.	PRT	NIA	HPP Boavista, S.A.	Ownership	6.000	UnitedHealth Group Incorporated
		00000					HPP A.C.E.	PRT	NIA	HPP Saúde - Parcerias Cascais, S.A.	Ownership	6.000	UnitedHealth Group Incorporated 6
		00000					IFF A.U.L.	rnı	NIA	HPP - Hospitais Privados de Portugal,	. Owner Strip	0.000	Join teurieartii droup incorporated
		00000					HPP Algarve, S.A.	PRT	NIA	SGPS. S.A.	Ownership.	.100.000	UnitedHealth Group Incorporated
		00000					nrr Algaive, S.A	rnı	NIA	HPP - Hospitais Privados de Portugal,	owner strip	100.000	John tedhearth Group incorporated
		00000					HPP Boavista, S.A	PRT	NIA	SGPS. S.A.	Ownership	100 000	UnitedHealth Group Incorporated
		00000					nrr boavista, S.A	rnı	NIA	HPP - Hospitais Privados de Portugal,	owner strip		
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		00000					HPP Lusiadas, S.A	PK1	NIA		Ownership	100.000	UnitedHealth Group Incorporated
		00000					UDD 0.64. Danish 0 0.4	PRT	NII A	HPP - Hospitais Privados de Portugal, SGPS. S.A.	0	400 000	11.:4
		00000					HPP Saúde - Parcerias Cascais, S.A	PK1	NI A		Ownership	100.000	UnitedHealth Group Incorporated
		00000					UDD VI	PRT		HPP - Hospitais Privados de Portugal,		05 000	
		00000					HPP Viseu, S.A.		NIA	SGPS, S.A.	Ownership	65.000	UnitedHealth Group Incorporated 5
		00000	26-2912304 .				Humedica, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1099968 .				Hygeia Corporation (Ontario)	CAN	NIA	UnitedHealth Group International L.P	Ownership	100.000	UnitedHealth Group Incorporated
							Imed Star Serviços Médicos e Odontológicos						
		00000					Ltda.	BRA	NIA	Amico Saúde Ltda	Ownership	50.000	UnitedHealth Group Incorporated7
							Imed Star Serviços Médicos e Odontológicos			Amil Assistência Médica Internacional			
		00000					Ltda	BRA	NIA	S.A	Ownership	50.000	UnitedHealth Group Incorporated7
		00000	86-0477097 .				Information Network Corporation	AZ	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated
										North American Medical Management -			
		00000	36-4733428 .				Informed Choice HealthCare Alliance, Inc	IL	NIA	Illinois, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1094872				Ingenix Innovus (Netherlands) B.V.	NLD	NIA	Optum, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	13-4138668				INSPIRIS of New York IPA, Inc	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	13-4138665 .				INSPIRIS of New York Management, Inc	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	20-5355196 .				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated
		00000	26-0683057 .				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership.	100.000	UnitedHealth Group Incorporated
							International Psychological Services Pty			· · · · · · · · · · · · · · · · · · ·			
		00000	98-1097022				Limited	AUS	NIA	PPC Worldwide Ptv Ltd	Ownership	100.000	UnitedHealth Group Incorporated
							Lifeprint Accountable Care Organization,						
		00000	32-0409538]		LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership.	100.000	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership.	100.000	UnitedHealth Group Incorporated
		00000	46-3531420				Lynx Medical Systems, LLC	WA	NIA	Optum360, LLC	Ownership.	100.000	UnitedHealth Group Incorporated
		00000	52-2129787				MAMSI Insurance Resources, LLC	WA	NIA	OneNet PPO. LLC	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	60321	52-2123767				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0101	om tourearth droup mourporated	00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000					managed inysical Network, IIIc.	IN I	NI /\	North American Medical Management	omici sittp	100.000	Jointeunearth Group Hilberporateu
		00000	42-1741594				MD Ops, Inc	CA	NIA	California. Inc	Ownership	100.000	United Health Crown Incornary ted
0707	United like Constant							CA MD			Ownership		UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	96310	52-1169135 .				MD-Individual Practice Association, Inc		IA	United HealthCare Services, Inc.		100.000	UnitedHealth Group Incorporated
0707	III. 14 - III 141 - O	00000	52-2178531 .				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	12756	20-3391186 .				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	12155	01-0788576 .				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
							Medical Preparatory School of Allied						
		00000	26-4808018				Health, LLC	TX	NIA		Ownership		UnitedHealth Group Incorporated
		00000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated

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1	2	3	4	5	6	7	8	9	10	11	12	13	14 15
								-			Type	If	
											of Control	Control	
											(Ownership,	is	
						Name of Securities			Relation	_	Board,	Owner-	
						Exchange		Domi-	ship		Management,	ship	
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reportin	Directly Controlled by	Influence,	Percen-	Ultimate Controlling
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s) *
	Croup Hamo	00000	27-2252446	11002	0	intornational)	MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	79480	35-1279304				Midwest Security Life Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
	om tourburth aroup moorporatou	00000					Minnesota Waypoint Physical Therapy, Inc.	DE	NIA	Pointway, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	27-1799346				Monarch Financial Services, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	85.000	UnitedHealth Group Incorporated 5
		00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated
		00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated
										North American Medical Management			
		00000	32-0284920				NAMM West. Inc.	AZ	NIA	California. Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare. Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	20-4755277				Netwerkes. LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	46-3584152				Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated
							North American Medical Management -						1
		00000	36-3984647				Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
							North American Medical Management						
		00000	33-0673955				California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	52-2129786				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	96940	52-1518174				Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1101842				Optum (Argentina) SRL	ARG	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	90.000	UnitedHealth Group Incorporated 12
		00000	98-1101842				Optum (Argentina) SRL	ARG	NIA	Optum UK Solutions Group Limited	Ownership	10.000	UnitedHealth Group Incorporated12
		00000	90-1105114				Optum (France) SAS	.FRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1104898				Optum (Spain), S.A.U.	ESP	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	47-0858534	3202702			Optum Bank. Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	45-3142512				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000					Optum Health & Technology Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated
							Optum Health & Technology Servicos Do			·	·		
		00000					Brasil Ltda.	BRA	NIA	Ingenix Innovus (Netherlands) B.V	Ownership	99.000	UnitedHealth Group Incorporated 13
							Optum Health & Technology Serviços Do						
		00000					Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership	1.000	UnitedHealth Group Incorporated 13
		00000					Optum Labs Dimensions, Inc.	DE	NIA	Optum Labs, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							Optum Nevada Accountable Care Organization						
		00000	. 90-1001805 .				LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 20-4581265 .				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 46-3328009 .				Optum Rocket, Inc.	DE	NIA	Picis, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 45-4683454 .				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000					Optum Solutions & Services Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 98-0644599 .				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1097769	-			Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 30-0580620 .	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 46-3328307 .	-			Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership	75.000	UnitedHealth Group Incorporated5
		00000	. 41-1591944 .				OptumHealth Care Solutions, Inc	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 47-0858530 .				OptumHealth Financial Services, Inc	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
		00000	-				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 98-1106868 .				OptumHealth International B.V	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1097397	-			OptumInsight (Canada) Inc.	CAN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1095252				OptumInsight (Deutschland) GmbH	DEU	NIA	Ingenix Innovus (Netherlands) B.V	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1095260	.			OptumInsight (Singapore) Pte. Ltd	SGP	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
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											of Control	Control		
											(Ownership,	is		
						Name of Securities			Relation-		Board,	Owner-		
						Exchange		Domi-	ship		Management,	ship		
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	*
Code	Group Name	00000	98-1097261	NOOD	CIK	,	OptumInsight (Sweden) AB	SWE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		UnitedHealth Group Incorporated	+
		00000	90-109/201				Optuminsight (Sweden) Ab Optuminsight Holdings, LLC	DE	NIA	Optum. Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094659				Optuminsight Hordings, EEC Optuminsight Italy S.r.I	JTA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094639 .				Optuminsight Italy 5.1.1 Optuminsight Korea LLC	KOR	NIA	Optum. Inc.	Ownership			
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	Optuminsight. Inc.	Ownership.	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	
		00000	98-1100008					DE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		UnitedHealth Group Incorporated	10
			98-1100008 .				OptumInsight Poland sp. z.o.o.	P0L	NIA		Ownership			13
		00000	41-1858498				OptumInsight Poland sp. z.o.o OptumInsight, Inc	DE	NIA	OptumInsight, Inc	Ownership	1.000	UnitedHealth Group Incorporated	13
			41-1838498					DE					UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx Holdings, LLC OptumRx NY IPA. Inc.	NY	NIA NIA	Optum, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	33-0441200						NIA NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 33-0441200 . . 41-1921007 .				OptumRx, Inc.	CA DE	NIA NIA	OptumRx Holdings, LLC			UnitedHealth Group Incorporated	
		00000					Ovations, Inc.			United HealthCare Services, Inc.	Ownership		UnitedHealth Group Incorporated	
0707		00000	06-1587795 .				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560 .				Oxford Health Insurance, Inc.	NY	IA	Oxford Health Plans (NY), Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96798	. 06-1181201 .				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership		UnitedHealth Group Incorporated	
<u>0</u> 707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership		UnitedHealth Group Incorporated	
		00000	52-2443751 .				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
							PacifiCare Life and Health Insurance							
0707	UnitedHealth Group Incorporated	70785	35-1137395				Company	IN	I A	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc	AZ	IA	United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc	CO	IA	United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc	NV	IA	United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							Personal Performance Consultants India							
		00000	98-1095799 .				Private Limited	J ND	NIA	OptumHealth International B.V	Ownership	99.996	UnitedHealth Group Incorporated	14
							Personal Performance Consultants India							
		00000	. 98-1095799 .				Private Limited	J ND	NIA	United Behavioral Health	Ownership	0.004	UnitedHealth Group Incorporated	14
							Personal Performance Consultants UK							
		00000	98-1095879 .				Limited	GBR	NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0506053 .				PHC Holdings of Florida, Inc	TX	NIA	PHC Subsidiary Holdings, LLC	Ownership		UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
										North American Medical Management -				
		00000	80-0654665 .				Physician Care Partners, Inc	IL	NIA	Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1799491 .				Physicians Choice Insurance Service, LLC	CA	NIA	Monarch Financial Services, LLC	Ownership		UnitedHealth Group Incorporated	5
0707	UnitedHealth Group Incorporated	11494	04-3677255 .				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership		UnitedHealth Group Incorporated	
		00000	52-1162824 .				Physicians Health Plan of Maryland, Inc	MD	NIA	United HealthCare Services, Inc	Ownership		UnitedHealth Group Incorporated	
		00000	36-4375169 .	3886791			Picis, Inc	DE	NIA	OptumInsight, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	98-1094849 .				Picis, Ltd	GBR	NIA	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							Plus One Health Management Inc. Puerto							
		00000					Rico	PR	NIA	Plus One Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3613705				Plus One Holdings, Inc	DE	NIA	OptumHealth Care Solutions, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
							Polar II Fundo de Investimento em			UnitedHealthcare International IV				
		00000	98-1083164 .				Participações	BRA	NIA	S.á.r.I	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098190 .				Positive People Company	CHN	NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2149493 .				PPC International II, LLC	MO	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	43-1747235 .				PPC International, L.L.C.	MO	NIA	Optum, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	98-1097921				PPC Worldwide Canada EAP Services Ltd	CAN	NIA	PPC International, L.L.C.	Ownership		UnitedHealth Group Incorporated	
										International Psychological Services Pty				
		00000					PPC Worldwide Pte. Ltd	SGP	NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated	<u> </u>

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM													
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											Type	If		
											of Control	Control		
											(Ownership,	is		
						Name of Securities			Relation	-	Board,	Owner-		
						Exchange		Domi-	ship		Management,	ship		
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reportin		Influence,	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Other)	tage	Entity(ies)/Person(s)	*
										Personal Performance Consultants UK				
		00000					PPC Worldwide Pty Ltd	AUS	NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	65-0683927 .				Preferred Care Partners Holding, Corp	FL	NI A	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							Preferred Care Partners Medical Group,	_						
		00000	26-1845018 .				Inc.	FL	NIA	Preferred Care Partners Holding, Corp	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	00 4045040				Preferred Care Partners Medical Group,	-		B (10 B)		400 000		
		00000	26-1845018				Inc.	FL	NIA	Preferred Care Partners Holding, Corp	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp	. Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75–3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75 0005050				Prime Community Care of Central Valley,	0.1		B : 0 H :: 1 H : 1		400 000		
		00000	75-3265056 .				Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0253112 .				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0607478 .				PrimeCare Medical Network, Inc.	CA	IA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265058 ₋ 87-0757397 ₋				PrimeCare of Banning-Beaumont, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674407				PrimeCare of Citrus Valley, Inc.		NIA	PrimeCare Medical Network, Inc.	Ownership Ownership	80.000	UnitedHealth Group Incorporated	5
							PrimeCare of Corona, Inc.		NIA	PrimeCare Medical Network, Inc.		100.000	UnitedHealth Group Incorporated	
		00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674408 .				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674402 .				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	. 75-3265060 . . 33-0674400 .				PrimeCare of Pomona Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674400 .				PrimeCare of Redlands, Inc.	CA	NIA NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated	
		00000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0698439				PrimeCare of Sun City, Inc.		NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0674409				PrimeCare of Temecula, Inc.		NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-00/4409				Filmedate of Temecuta, Inc.	VA	NI M	Amil Assistência Médica Internacional	. Owner Strip		oniteunearth droup incorporated	
		00000	98-1112304				Promarket Propaganda e Marketing Ltda	BRA	NIA	S.A.	Ownership	99.792	UnitedHealth Group Incorporated	15
		00000	98-1112304				Promarket Propaganda e Marketing Ltda	BRA	NIA	Amico Saúde Ltda.	Ownership	0.208	UnitedHealth Group Incorporated	15
		00000	98-1103015				QSSI Technologies India Private Limited	JND	NIA	Quality Software Services, Inc.	Ownership	99.900	UnitedHealth Group Incorporated	5
		00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	0
		00000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	20 0100704				ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
							OUT I PROVITED I LIMITED			North American Medical Management -	omior om p		on touriourth droup moorporatou	
		00000	20-4763091				Senior Care Partners, Inc.	IL	NIA	Illinois. Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							Sierra Health and Life Insurance Company,	١						
0707	UnitedHealth Group Incorporated	71420	94-0734860				Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	1
		00000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare. Inc.	Ownership	. 100.000	UnitedHealth Group Incorporated	
		00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated	
		00000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	. 100.000	UnitedHealth Group Incorporated	
		00000	38-2609888				Southwest Michigan Health Network Inc	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	. 100.000	UnitedHealth Group Incorporated	
		00000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1431155 .				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership		UnitedHealth Group Incorporated	
		00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	

	PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM												
1	2	3	4	5	6	7	8	9	10	11	12	13	14 15
	_			_	1	-					Type	lf	
											of Control	Control	
											(Ownership,	is	
						Name of Securities			Relation-		Board,	Owner-	
						Exchange		Domi-	ship		Management,	ship	
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s) *
		00000	. 95-2931460 .				UHC of California	CA	IA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated
										Polar II Fundo de Investimento em			
		00000					UHG Brasil Participações S.A	BRA	NIA	Participações	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 98-1102343 .				UHG Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 41-1921008 .				UHIC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 14-1892398 . . 39-1995276 .				Ultima Rx, LLC	FL DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	I la i ta all la a l the Consume Language and the	91529	. 52-1995276 . 52-1996029				UMR, Inc Unimerica Insurance Company	VE	NIA IA.	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	9 1529	. 52-1990029				Unimerica insurance Company Unimerica Life Insurance Company of New	W1	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHearth Group incorporated
0707	UnitedHealth Group Incorporated	11596	. 01-0637149 .				York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated
1010	on tedhearth droup incorporated	00000	. 25-1877716 .				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	20-5917714				Unison Health Plan of Delaware. Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	. 100.000	UnitedHealth Group Incorporated
		00000	. 20 3317714 .				Unison Health Plan of the Capital Area.		I //	Till ee ilivers floratilgs, flic.	Owner strip		Jointeunearth droup moorporated
0707	UnitedHealth Group Incorporated	13032	26-0651931				Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
	on touriour in aroup more portation	00000	94-2649097				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated
							United Behavioral Health of New York.						
		00000	41-1868911				I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated
		00000	41-1941615				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 41-1289245 .	3410132			United HealthCare Services, Inc	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated
							United Resource Networks IPA of New York,				·		
		00000	. 30-0318238 .				Inc	NY	NIA	OptumHealth Care Solutions, Inc	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 01-0538317 .				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							UnitedHealth Group Global Healthcare						
		00000	98-1097761				Services Limited	JRL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1097776				UnitedHealth Group Global Services, Inc	PHL	NIA	UnitedHealth Group International B.V	Ownership	100.000	UnitedHealth Group Incorporated
						New York Stock Exchange							
		00000	41-1321939		. 0000731766		UnitedHealth Group Incorporated	MN	UIP			0.000	-
		20000	00 4000050				UnitedHealth Group Information Services	LND				00 070	
		00000	. 98–1093259 .				Private Limited	J ND	NIA	UnitedHealth Group International B.V	Ownership	99.370	UnitedHealth Group Incorporated 16
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	J ND	NIA	UnitedHealth International, Inc.	Ownership.	0.630	UnitedHealth Group Incorporated 16
		00000	98-1101083				UnitedHealth Group International B.V.	NLD	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	95.338	UnitedHealth Group Incorporated 17
	-	00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	2.923	UnitedHealth Group Incorporated 17
					1					UnitedHealthcare International LATAM			
		00000	98-1080118				UnitedHealth Group International L.P	CYM	NIA	Corporation	Ownership	1.523	UnitedHealth Group Incorporated 17
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership.	0.216	UnitedHealth Group Incorporated
		00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership.	100.000	UnitedHealth Group Incorporated
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership.	100.000	UnitedHealth Group Incorporated
							UnitedHealth Military & Veterans Services,						
		00000	26-2574977		.		LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 98-0559902 .		.		UnitedHealth UK Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95174	. 33-0115163 .				UnitedHealthcare Benefits of Texas, Inc	TX	IA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							UnitedHealthcare Community Plan of						
		00000	-				California, Inc.	CA	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated
	L	1					UnitedHealthcare Community Plan of Ohio,					1	
0707	_UnitedHealth Group Incorporated	12323	. 56-2451429 .		-		Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
	l	l					UnitedHealthcare Community Plan of Texas,						
0707	UnitedHealth Group Incorporated	11141	91-2008361		-		L.L.C	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc	MI		AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated

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	_				-						Type	lf	
											of Control	Control	
											(Ownership,	is	
						Name of Securities			Relation-		Board,	Owner-	
						Exchange		Domi-	ship		Management,	ship	
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s) *
		00000	98-1099116 .				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealthcare International II B.V	Ownership	99.994	UnitedHealth Group Incorporated 9
		00000	98-1099116 .				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealth International, Inc	Ownership	0.007	UnitedHealth Group Incorporated9
0707	UnitedHealth Group Incorporated	79413	. 36-2739571 .				UnitedHealthcare Insurance Company	CT	I A	UHIC Holdings, Inc	Ownership	100.000	UnitedHealth Group Incorporated
							UnitedHealthcare Insurance Company of						
0707	UnitedHealth Group Incorporated	60318	. 36-3800349 .				Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated
							UnitedHealthcare Insurance Company of New						
0707	_UnitedHealth Group Incorporated	60093	. 11–3283886 .				York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated
							UnitedHealthcare Insurance Company of the			UnitedHealthcare Services Company of the			
0707	UnitedHealth Group Incorporated	12231	. 20-1902768 .				River Valley	IL	IA	River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	41-1988797 .				UnitedHealthcare International Asia, LLC	DE	NI A	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 98-1100512 .				UnitedHealthcare International I B.V	NLD	NI A	UnitedHealth Group International L.P	Ownership	75.760	UnitedHealth Group Incorporated 10
		00000	98-1100512 .				UnitedHealthcare International I B.V	NLD	NI A		Ownership	24.240	UnitedHealth Group Incorporated 10
		00000	98-1079595 .				UnitedHealthcare International I S.á.r.I.	LUX	NIA	UnitedHealth Group International L.P	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1100980 .				UnitedHealthcare International II B.V	NLD	NI A		Ownership	100.000	UnitedHealth Group Incorporated
		00000	00 1070450				UnitedHealthcare International II S.á.r.I.	_LUX	NII A	UnitedHealthcare International I S.á.r.I.	O	100 000	I laide all lead the Constant
		00000	98-1079459 .				UnitedHealthcare International III	LUX	NIA	UnitedHealthcare International II	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-1077436 .				oniteumeaithcare international III S.á.r.I.	LUX	NIA	S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	98-10/7436 .				5.a.r.i. UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II	Owner Sn I p		UnitedHearth Group Incorporated
		00000	98-1080926				unitedhearthcare international iv S.a.i.i.	LUX	NIA	S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated
		00000	. 30-1000320 .				UnitedHealthcare International LATAM	LUX	NI A	J.a.i.i.	Owner Sirrp		John teunearth Group moorporated
		00000	99-0382287				Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare Life insurance Company	WI	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Alabama. Inc.	AL	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	IA		Ownership	_100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arkansas. Inc.	AR	IA		Ownership.	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Florida. Inc.	FL	IA		Ownership.	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership.	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95776	. 36-3280214 .				UnitedHealthcare of Illinois, Inc	IL	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	96644	62-1240316 .				UnitedHealthcare of Kentucky, Ltd	KY	IA	United HealthCare Services, Inc.	Ownership	94 . 180	UnitedHealth Group Incorporated 11
0707	UnitedHealth Group Incorporated	96644	62-1240316 .				UnitedHealthcare of Kentucky, Ltd	KY	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated 11
0707	UnitedHealth Group Incorporated	95833	. 72-1074008 .				UnitedHealthcare of Louisiana, Inc	LA	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95716	. 63-1036817 .				UnitedHealthcare of Mississippi, Inc	MS	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95149	. 05-0413469 .				UnitedHealthcare of New England, Inc	RI	IA	United HealthCare Services, Inc	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	13214	. 26-2697886 .				UnitedHealthcare of New Mexico, Inc	NM	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95085	. 06-1172891 .				UnitedHealthcare of New York, Inc	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95103	. 56-1461010 .				UnitedHealthcare of North Carolina, Inc	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95186	. 31-1142815 .				UnitedHealthcare of Ohio, Inc.	H	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	96903	. 33-0115166 .				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95220	25-1756858 .				UnitedHealthcare of Pennsylvania, Inc	PA	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95765	95–3939697 .				UnitedHealthcare of Texas, Inc.	TX	I A	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	Ille i Andille a labe Conservation and the	05005	E0 4400400				UnitedHealthcare of the Mid-Atlantic, Inc.	un.	1.4	Herita alle e Laborere e Lore	0h :	100 000	Illaid all a lab Connections and a
0707	UnitedHealth Group Incorporated	95025	. 52-1130183 .				United the Head of the Midle and Co.	MD	IA		Ownership	100.000	UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95591 96385	. 47-0676824 . 43-1361841 .				UnitedHealthcare of the Midlands, Inc UnitedHealthcare of the Midwest. Inc	NE	IA IA		Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated
0707	UnitedHealth Group Incorporated	95385	. 43-1361841 . . 41-1488563 .				UnitedHealthcare of the Midwest, Inc UnitedHealthcare of Utah, Inc	MU	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated
0707	_UnitedHealth Group Incorporated _UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Utan, Inc UnitedHealthcare of Washington, Inc	WA	IA IA	United HealthCare Services, Inc.	Ownership	100.000	
0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc	WA	IA		Ownership	100.000	UnitedHealth Group Incorporated UnitedHealth Group Incorporated
0101	Join teamearth droup incorporated	907 10	_ 03-100000 _				uniteuneartheate of misconsin, inc.	WI	LI M	Join teureal theate, the.	OMING 1 2011 P	.	Join Leunearth Group incorporated

SCHEDULE Y

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											Type	lf		
											of Control	Control		
											(Ownership,	is		
						Name of Securities			Relation-		Board.	Owner-		
						Exchange		Domi-	ship		Management,	ship		
		NAIC	Federal			if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence.	Percen-	Ultimate Controlling	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)			*
Code	Group Marrie	Code	Number	KSSD	CIK	international)		lion		, ,		tage	Entity(ies)/Person(s)	
							UnitedHealthcare Plan of the River Valley,			UnitedHealthcare Services Company of the				
0707	UnitedHealth Group Incorporated		36-3379945 .				Inc	IL		River Valley, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	47-0854646 .				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare Services Company of the							
			36-3355110 .				River Valley, Inc.	DE	NIA	UnitedHealthcare, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	01-0518346 .				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511 .				UnitedHealthcare, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0920164				UnitedHealthOne Agency, Inc	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757396 .				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network. Inc.	Ownership		UnitedHealth Group Incorporated	
							WellMed Medical Management of Florida,			,				
		00000	74-2797745 .				Inc	FI	NIA	WellMed Medical Management, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2786364				WellMed Medical Management, Inc.	TY		Collaborative Care Holdings, LLC	Ownership		UnitedHealth Group Incorporated	5
		00000	36-3437660 .				Wellness. Inc.	11		OptumHealth Care Solutions, Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	52-2102846				XLHealth Corporation	MD.		United HealthCare Services. Inc.	Ownership		UnitedHealth Group Incorporated	
		00000	32-2102040							officed fleatificate services, flic.	Owner strip		officeriearth Group incorporated	
		00000	98-1107695				XLHealth Corporation India Private Limited	IND	NIA	William I Ale Oran and Ale or	0	100 000	III. I A SHI I SHA A A A A A A A A A A A A A A A A A A	
		00000	98-110/695 .					INU	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
							Your Health Options Insurance Services,							
		00000	11-3764012				Inc	CA		PrimeCare Medical Network, Inc	Ownership	100.000	UnitedHealth Group Incorporated	
			1					1		North American Medical Management -				
		00000	27-0172594 .				Your Partner in Health Services, Inc	IL	NIA	Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
01	The remaining percentage is owned by one or more officers of the Company.
02	The remaining 44.23% owned by Amico Saúde Ltda. and 10.60% owned by Esho — Empresa de Serviços Hospitalares S.A.
03	The remaining 2.54% owned by Amico Saúde Ltda. and 0.52% owned by ASL Assistência a Saúde Ltda.
04	The remaining 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.
	The remaining percentage is owned by one or more non-affiliated companies or one or more external shareholders.
	The remaining 30% is owned by HPP Boavista, S.A., HPP Lusiadas, S.A., HPP Algarve, S.A., HPP Saúde - Parcerias Cascais, S.A., and HPP Viseu, S.A. each owning 6%.
	The remaining 50% is owned by Amico Saúde Ltda.
	The remaining 1.30% owned by Amico Saúde Ltd.
	The remaining 0.0065% is owned by UnitedHealth International, Inc.
	The remaining 24.24% is owned by UnitedHealth Group International B.V.
	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%.
	The remaining 10% is owned by Optum UK Solutions Group Limited.
	The remaining 1% owned by OptumInsight, Inc.
	The remaining 0.004 % is owned by United Behavioral Health.
	The remaining 0.208% is owned by Amico Saúde Ltda.
	The remaining 0.63% is owned by UnitedHealth International, Inc.
17	The limited partners include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International,
	L.P.
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

			Response
1.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the	NAIC with this statement?	NO
	Explanation:		
1.	Not applicable.		
1.	Bar Code: Medicare Part D Coverage Supplement [Document Identifier 365]		

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

			1
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted arrying the		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	Mortgage Loans		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage in rest polynamic mmitmer dees		
9.	Total foreign exchange change in book value/recorded investment excretely accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

Year to Date 1. Book/adjusted carrying value, December 31 of prior year 2. Cost of acquired: 2.1 Actual cost at time of acquisition 2.2 Additional investment made after acquisition 3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	2
1. Book/adjusted carrying value, December 31 of prior year 2. Cost of acquired: 2.1 Actual cost at time of acquisition 2.2 Additional investment made after acquisition 3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	ior Year Ended
2. Cost of acquired: 2.1 Actual cost at time of acquisition 2.2 Additional investment made after acquisition 3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	December 31
2.1 Actual cost at time of acquisition 2.2 Additional investment made after acquisition 3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	
2.2 Additional investment made after acquisition 3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	
3. Capitalized deferred interest and other 4. Accrual of discount 5. Unrealized valuation increase (decrease) 6. Total gain (loss) on disposals 7. Deduct amounts received on disposals 8. Deduct amortization of premium and depreciation	
4. Accrual of discount	
Unrealized valuation increase (decrease) Total gain (loss) on disposals Deduct amounts received on disposals Deduct amortization of premium and depreciation	
6. Total gain (loss) on disposals	
Deduct amounts received on disposals Deduct amortization of premium and depreciation	
8. Deduct amortization of premium and depreciation	
9. Total foreign exchange change in book/adjusted carrying value	
10. Deduct current year's other than temporary impairment recognized	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	
12. Deduct total nonadmitted amounts	
13. Statement value at end of current period (Line 11 minus Line 12)	

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	33,576,606	39,853,474
2.	Cost of bonds and stocks acquired		
3.	Accrual of discount		14,085
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	179,470	400,254
6.	Deduct consideration for bonds and stocks disposed of		
7.	Deduct amortization of premium		315,084
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	1,910
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	39,284,981	33,576,606
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	39,284,981	33,576,606

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

Duning	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	170,773,258	241,253,304	237,429,266	(1,589,691)	160,972,628	170,773,258	173,007,605	155,092,996
2. Class 2 (a)	5,561,543	2, 111,007	1,786,222	1,275,627	5,453,828	5,561,543	7, 161,955	4,935,350
3. Class 3 (a)	0	0	0	0	0	0	0	0
4. Class 4 (a)	0	0	0	0	0	0	0	0
5. Class 5 (a)	0	0	0	0	0	0	0	0
6. Class 6 (a)	. 0	0	0	0	0	0	0	0
7. Total Bonds	176,334,801	243,364,311	239,215,488	(314,064)	166,426,456	176,334,801	180,169,560	160,028,346
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	0
9. Class 2	0	0	0	0	0	0	0	0
10. Class 3	0	0	0	0	0	0	0	0
11. Class 4	0	0	0	0	0	0	0	0
12. Class 5	0	0	0	0	0	0	0	0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	. 0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	176,334,801	243,364,311	239,215,488	(314,064)	166,426,456	176,334,801	180,169,560	160,028,346

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	140,884,579	XXX	141,209,688	292,603	125,304

SCHEDULE DA - VERIFICATION

Short-Term Investments

	Short-rent investments	1	2
		'	
			Prior Year Ended
		Year To Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year	125,349,511	127,467,826
2.	Cost of short-term investments acquired	683,287,077	963,438,872
3.	Accrual of discount	20,792	39,361
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	0	2,509
6.	Deduct consideration received on disposals	667,040,160	964,595,502
7.	Deduct amortization of premium	732,641	1,003,555
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	140,884,579	125,349,511
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	140,884,579	125,349,511

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts $N\ O\ N\ E$

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,102,231	2,003,538
2.	Cost of cash equivalents acquired	2,961,514	11,004,525
3.	Accrual of discount	1,125	2,443
4.	Unrealized valuation increase (decrease)	0	0
5.	Total gain (loss) on disposals	755	0
6.	Deduct consideration received on disposals	4,050,875	11,885,000
7.	Deduct amortization of premium	14,750	23,275
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	1,102,231
11.	Deduct total nonadmitted amounts	0	0
12.	Statement value at end of current period (Line 10 minus Line 11)	0	1,102,231

Schedule A - Part 2 - Real Estate Acquired and Additions Made NONE

Schedule A - Part 3 - Real Estate Disposed NONE

Schedule B - Part 2 - Mortgage Loans Acquired NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired \overline{N} \overline{O} \overline{N} \overline{E}

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid $N\ O\ N\ E$

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

4	2	3	4	E	6	7	0	0	10
į.	2	3	4	D D	O	,	٥	9	
									NAIC Desig-
									nation or
				N	Number of			Paid for Accrued	Market
CUSIP			Date		Shares of			Interest and	Indicator
Identification	Description	Foreign	Acquired	Name of Vendor	Stock	Actual Cost	Par Value	Dividends	(a)
	FHLB Note Non Call 0.190% 07/25/14			Barclays Group Inc.		450,034	450,000	0	1FE
	FHLB Note Non Call 0.190% 07/25/14		07/17/2013	Barclays Group Inc.		300,008	300,000	0	1FE
	US Treasury Note 4.250% 08/15/14		08/14/2013	Nomura Securities		2,237,427	2, 150,000	0	1
	US Treasury Note 1.375% 06/30/18		07/01/2013	Morgan Stanley		349,768	350,000	65	
	otal - Bonds - U.S. Governments					3,337,237	3,250,000	65	XXX
	ATLANTA GA ARPT Rev Bond Non Call 5.000% 01/01/22		08/22/2013	Funb Funds		323,076	300,000	2,333	
	BARTOW CNTY GA D Rev Bond Non Call Man Put 2.375% 09/01/29			Sun Trust Bank		250,000	250,000	0	1FE
	CALIFORNIA ST HL Rev Bond Non Call Man Put Sink 5.000% 07/01/43	E	07/10/2013	Morgan Stanley		129,223	115,000	0	1FE
	DENVER CITY & CN Rev Bond Cont Call 5.000% 11/15/23		09/04/2013	Scott & Stringfellow		105,803	100,000	1,583	1FE
	GREENVILLE SC HO Rev Bond Cont Call 5.000% 05/01/24		08/07/2013	Scott & Stringfellow		246,089	225,000	3, 157	1FE
	INDIANA ST HLTH Rev Bond Non Call Man Put Sink 2.800% 11/01/27	E	07/30/2013	Morgan Stanley		100,000	100,000	0	1FE
	MIAMI-DADE CNTY Rev Bond Non Call 5.000% 10/01/18		09/11/2013	Raymond James & Assoc		333,594	300,000	0	1FE
	otal - Bonds - U.S. Special Revenues					1,487,785	1,390,000	7,073	XXX
	Banco del Estado de Chile Cert of Deposit 0.420% 07/29/14		07/26/2013	Bear Stearns Sec		350,000	350,000	0	1FE
	Bank Tokyo Mitsubishi Cert of Deposit 0.380% 08/01/14		07/31/2013	Bear Stearns Sec		550,000	550,000	0	1FE
	Capital One Corp Note Non Call 2.150% 03/23/15		08/05/2013	Deutsche Bank		101,798		807	2FE
	CARMAX AUTO ONNE CARMX 2013-3 B ABS 1.910% 03/15/19		07/31/2013	JP Morgan Chase		49,999	50,000	0	1FE
	CARMAX AUTO OWNE CARMX 2013-3 C ABS 2.150% 05/15/19		07/31/2013	JP Morgan Chase		49,984	50,000	0	1FE
	DAIMLER FINANCE Corp Note MW Prv Plc 2.375% 08/01/18	E		Deutsche Bank		149,627	150,000	0	1FE
	Ford Credit Auto FORDO 2013-C A2 ABS 0.550% 04/15/16		07/23/2013	Deutsche Bank		85,000		0	1FE
	GOLDMAN SACHS GP Corp Note Non Call 5.250% 07/27/21		07/30/2013	JP Morgan Chase		27,010	25,000	18	1FE
	Mercedes Benz MBART 2013-1 A2 ABS 0.500% 03/15/16			RBS Securities		94,993	95,000	0	1FE
	Morgan Stanley Corp Note MW 37.5BP 5.500% 07/28/21		07/18/2013	Wachovia Bank		59,846	55,000	1,470	
61747W-AL-3	Morgan Stanley Corp Note MW 37.5BP 5.500% 07/28/21		07/18/2013	Goldman Sachs		16,268	15,000	401	2FE
	Nissan Auto Rec Tr NAROT 2013-B A2 ABS 0.520% 04/15/16		07/24/2013	JP Morgan Chase		95,000	95,000		1FE 2FF
	ONCOR ELECTRIC D Corp Note Call MW 40BP 4.100% 06/01/22		07/18/2013 07/17/2013	JP Morgan Chase		42,043 750.000	40,000 750.000	237	2FE
	VERIZON COMM INC Corp Note MW 2.004% 09/14/18			JP Morgan Chase		185.000	185.000		2FE
	otal - Bonds - Industrial and Miscellaneous (Unaffiliated)		09/11/2013	Jr MUI gall Glase		2.606.568	2.595.000	2.933	XXX
	l - Bonds - Part 3					7,431,590	7.235.000	2,933	XXX
	- Bonds - Part 5					XXX	XXX	XXX	XXX
8399999. Total						7.431.590	7.235.000	10.071	XXX
	- Preferred Stocks - Part 3					7,431,590	XXX	10,0/1	XXX
	- Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
	- Preferred Stocks - Part 5					^^^	XXX		XXX
	- Preferred Stocks - Common Stocks - Part 3					0	XXX	0	XXX
						0		0	
	- Common Stocks - Part 5					XXX	XXX	XXX	XXX
0.00000	- Common Stocks					0		0	XXX
	- Preferred and Common Stocks					0	XXX	0	XXX
9999999 - Tota	als					7,431,590	XXX	10,071	XXX

⁽a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

SCHEDULE D - PART 4

					Show All Lo	ng-Term Bo	onds and Stoc	k Sold, Red	leemed or 0	Otherwise I	Disposed of	of During tl	he Current Q	Quarter							
1	2	3	4	5	6	7	8	9	10				Carrying Value		16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current	Change in	Foreign							Desig-
												Year's		Exchange	Book/				Bond		nation
									Prior Year		Current	Other Than		Change in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Unrealized	Year's	Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairment	, ,	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-		Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eign		of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	,	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
	US Treasury Bond 3.000% 02/28/17	o.g	07/19/2013	Morgan Stanley	0.00	538.340	500.000	496.055	497.542	0	308	0	308	0	497 .850	0	40.490	40,490	13.370	02/28/2017	1
	Subtotal - Bonds - U.S. Governments					538,340	500,000	496,055	497,542	0	308	0	308	0	497,850	0	40,490	40,490	13,370	XXX	XXX
	DE Trans Auth Rev Bond Non Call 5.000%																		- 7		
246428-RN-8			07/01/2013	Maturity		500,000	500,000	533,785	503,018	0	(3,018)	0	(3,018)	0	500,000	0	0	0	25,000	07/01/2013	1FE
	Energy NW WA Elec Rev Bond Non Call 5.000%																				
29270C-PY-5	07/01/13		07/01/2013	Maturity		400,000	400,000	427,812	402,470	0	(2,470)	0	(2,470)	0	400,000	0	0	0	20,000	07/01/2013	1FE
3128MJ-SK-7 3128MJ-SK-7	FHLMC Pool G08521 MBS 3.000% 01/01/43 FHLMC Pool G08521 MBS 3.000% 01/01/43		07/01/2013	Paydown Paydown	-	287 289	287 289	295 297	 n		(8)	n	(8)	 n	287 289	0 n	 n	 n	4 /	01/01/2043 .01/01/2043	1
3128MJ-SK-7	FHLMC Pool G08521 MBS 3.000% 01/01/43		_09/01/2013 _	Paydown		298	298	307	0	0	(9)	0	(9)	0	298	0	0	0	5	01/01/2043	1
3128MJ-SP-6	FHLMC Pool G08525 MBS 3.000% 05/01/43		07/01/2013	Paydown		342	342	344	0	0	(1)	0	(1)	0	342	0	0	0	1	05/01/2043	1
3128MJ-SP-6	FHLMC Pool G08525 MBS 3.000% 05/01/43		08/01/2013	Paydown	-	294	294	295	0	0	(1)	0	(1)	0	294	0	0	0	1	05/01/2043	1
3128MJ-SP-6			09/01/2013	Paydown		331	331	332	0	ō	(1) (458)	ō	(1)	0	331	0	0	0	2	05/01/2043	1
3128PR-VW-6 3128PR-VW-6	FHLMC Pool J12429 MBS		07/01/2013 08/01/2013	Paydown Paydown Paydown	-	8,380 4,059	8,380 4,059	8,856 4,290	8,838 4,281	0	(458)	}0	(458)	0	8,380 4,059	<u>0</u>	0	0	196 108	06/01/2025 06/01/2025	1
3128PR-VW-6	FHLMC Pool J12429 MBS 4.000% 06/01/25		09/01/2013	Paydown		1,051	1,051	1,111	1,108	0	(57)	0	(57)	0	1,051	0	0	0	32	06/01/2025	1
31292M-DY-6	FHLMC Pool C04619 MBS 3.000% 03/01/43		07/01/2013	Paydown		1,810	1,810	1,816	0	0	(7)	0	(7)	0	1,810	0	0	0	5	03/01/2043	1
31292M-DY-6	FHLMC Pool CO4619 MBS 3.000% 03/01/43		08/01/2013	Paydown		480	480	481	0	0	(2)	0	(2)	0	480	0	0	0	2	03/01/2043	1
31292M-DY-6			09/01/2013	Paydown		1, 171	1, 171	1, 175	0	0	(4)	0	(4)	0	1, 171	0	0	0	9	03/01/2043	1
31292S-AN-0 31292S-AN-0	FHLMC Pool CO9013 MBS 3.000% 09/01/42 FHLMC Pool CO9013 MBS 3.000% 09/01/42		07/01/2013	Paydown Paydown		2,422 2,058	2,422 2,058	2,503 .2,127	2,502 2,126	0	(80)	0	(80)	0	2,422	0	0	0	42 41	09/01/2042 09/01/2042	1
31292S-AN-0			09/01/2013	Pavdown		2, 139	2,139	2,211	2,210		(71)	0	(71)	0	2.139	0	0	0	41 48	09/01/2042	1
31292S-AX-8			07/01/2013	Paydown		515	515	530	0	0	(15)	0	(15)	0	515	0	0	0	6	01/01/2043	1
31292S-AX-8	FHLMC Pool C09022 MBS 3.000% 01/01/43		08/01/2013	Paydown		469	469	482		٥	(13)		(13)	0	469	0	0	0	7	01/01/2043	1
31292S-AX-8	FHLMC Pool C09022 MBS 3.000% 01/01/43		09/01/2013	Paydown		462	462	475	Ω		(13)	0	(13)	0	462	0	0	0	8	01/01/2043	1
3132HQ-C4-2 3132HQ-C4-2	FHLMC Pool Q13691 MBS 3.000% 12/01/42 FHLMC Pool Q13691 MBS 3.000% 12/01/42		07/01/2013 08/01/2013	Paydown Paydown		148 726	148 726	153 748	۷		(4)	0	(22)	0 n	148 726	0	0	0	2	12/01/2042 12/01/2042	1
3132HQ-C4-2	FHLMC Pool Q13691 MBS 3.000% 12/01/42		09/01/2013	Paydown		103	103	106	ر 0	0	(3)	0	(3)	0	103	0	0	0	2	12/01/2042	1
3132J6-HR-8	FHLMC Pool Q15239 MBS 3.000% 02/01/43		07/01/2013	Paydown	-	142	142	146	0	0	(4)	0	(4)	0	142	0	0	0	2	02/01/2043	1
3132J6-HR-8	FHLMC Pool Q15239 MBS 3.000% 02/01/43		08/01/2013	Paydown		136	136	140	0	0	(4)	0	(4)	0	136	0	0	0	2	02/01/2043	1
3132J6-HR-8	FHLMC Pool Q15239 MBS 3.000% 02/01/43		09/01/2013	Paydown		725	725	745	0	0	(21)	0	(21)	0		0	0	0	13	02/01/2043	1
3132J9-5V-6 3132J9-5V-6	FHLMC Pool Q18559 MBS 3.500% 05/01/43 FHLMC Pool Q18559 MBS 3.500% 05/01/43		07/01/2013	Paydown		858 2,823			Q	0	(4)	L	(4)	0		0	0	0	3	05/01/2043 05/01/2043	1
3132J9-5V-6	FHLMC Pool Q18559 MBS 3.500% 05/01/43		09/01/2013	Paydown		3,364	3,364	3.381	 0		(14)	0	(16)	0	3,364		0	0	29	05/01/2043	1
3132J9-NQ-7	FHLMC Pool Q18098 MBS 3.000% 05/01/43		_07/01/2013 _	Paydown		638	638	640	0	0	(2)	0	(2)	0	638	0	0	0	2	05/01/2043	1
3132J9-NQ-7	FHLMC Pool Q18098 MBS 3.000% 05/01/43		08/01/2013	Paydown		1, 112	1,112	1, 115	0	0	(4)	0	(4)	0	1,112	0	0	0	6	05/01/2043	1
3132J9-NQ-7	FHLMC Pool Q18098 MBS 3.000% 05/01/43		09/01/2013	Paydown	-	742	742	745	ō	0	(2)	ō	(2)	0	742	0	0	0	6	05/01/2043	1
3132JB-GF-4 3132JB-GF-4	FHLMC Pool Q19697 MBS 3.000% 06/01/43 FHLMC Pool Q19697 MBS 3.000% 06/01/43		07/01/2013	Paydown Paydown		251 374	251 374	241 360		0	9 1/	0	9	0 n	251 .374	0	0	0	1	06/01/2043 06/01/2043	1
3132JB-GF-4	FHLMC Pool Q19697 MBS 3.000% 06/01/43		09/01/2013	Paydown	-	647	647	622	ر ۱	n	24	0	24	 0	647	0	0	0	5	06/01/2043	1
31376J-VP-1	FNMA Pool 357122 MBS 6.000% 05/01/16		07/01/2013	Paydown	-	278	278	283	280	0	(2)	0	(2)	0	278	0	0	0	10	05/01/2016	1
31376J-VP-1	FNMA Pool 357122 MBS 6.000% 05/01/16		08/01/2013	Paydown		280	280	284	282	0	(2)	0	(2)	0	280	0	0	0	11	05/01/2016	1
31376J-VP-1	FNMA Pool 357122 MBS 6.000% 05/01/16		09/01/2013 _	Paydown		247	247	251	248	0	(2)	0	(2)	0	247	0	0	0	11	05/01/2016	1
3138A1-J9-3 3138A1-J9-3	FNMA Pool AH0287 MBS 3.500% 12/01/25 FNMA Pool AH0287 MBS 3.500% 12/01/25		07/01/2013 08/01/2013	Paydown Paydown Paydown		78 78	78	80 81	80 81		(2)		(2)(2)	٠	78 78		0		2	12/01/2025	1
3138A1-J9-3	FNMA Pool AH0287 MBS 3.500% 12/01/25		09/01/2013	Paydown	_	78		81	81	0	(2)	0	(2)	0	78	0	0	0	2	12/01/2025	1
3138A4-C7-8	FNMA Pool AH2793 MBS 3.500% 01/01/26		_07/01/2013 _	Paydown	-	16,011	16,011	16,504	16,477	0	(466)	0	(466)	0	16,011	0	0	0	327	01/01/2026	1
3138A4-C7-8	FNMA Pool AH2793 MBS 3.500% 01/01/26		08/01/2013	Paydown	-	21, 109	21,109	21,759	21,724	0	(615)		(615)	0	21, 109	0	0	0	492	01/01/2026	1
3138A4-C7-8	FNMA Pool AH2793 MBS 3.500% 01/01/26		09/01/2013	Paydown	-	3,572	3,572	3,682	3,676	Fō	(104)	Fō	(104)	0	3,572	ļō	0	0	94	01/01/2026	1
3138A7-GY-8 3138A7-GY-8	FNMA Pool AH5614 MBS 3.500% 02/01/26 FNMA Pool AH5614 MBS 3.500% 02/01/26		07/01/2013 08/01/2013	Paydown Paydown Paydown		5,210 6,618	5,210 6,618	5,200 6,606	5,200 6,606	0	10	0	10	0 n	5,210 6,618	0	0 n	 n	106 154	02/01/2026 02/01/2026	1
3138A7-GY-8	FNMA Pool AH5614 MBS 3.500% 02/01/26		09/01/2013	Paydown	-	3,771	3,771	3,764	3,764	n	7	0	7	0	3,771	0	n	n	99	02/01/2026	1
3138AB-L3-1	FNMA Pool AH9345 MBS 3.500% 04/01/26		07/01/2013	Paydown		10,233	10,233	10,384	10,377	0	(143)	0	(143)	0	10,233	0	0	0	209	04/01/2026	1
3138AB-L3-1	FNMA Pool AH9345 MBS 3.500% 04/01/26		08/01/2013	Paydown		8,712	8,712		8,834	0	(122)	0	(122)	0	8,712	0	0	0	203	04/01/2026	1
3138AB-L3-1	FNMA Pool AH9345 MBS 3.500% 04/01/26		09/01/2013	Paydown		5,461	5,461	5,541	5,537	ō	(76)	ō	(76)	ō	5,461	ō	0	0	143	04/01/2026	1
	FNMA Pool Al2019 MBS 3.500% 04/01/26 FNMA Pool Al2019 MBS 3.500% 04/01/26		07/01/2013 08/01/2013	Paydown Paydown	-	19, 129 2,659	19,129 2.659	19,410 2.699	19,397 2.697	⁰	(268)	0	(268)		19, 129 2.659	0	0	0	391 62	04/01/2026 04/01/2026	1
			08/01/2013	Davidenia		25 /07	25 407	25,099	25,097		(37)	ļ	(37)		25,407				02	04/01/2020	4

SCHEDULE D - PART 4

					Show All Lo	ng-Term Bo	onds and Stoo	ck Sold, Red	deemed or C	Otherwise I	Disposed o	of During t	he Current (Quarter							
1	2	3	4	5	6	7	8	9	10				Carrying Valu		16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current	Change in	Foreign							Desig-
												Year's		Exchange	Book/				Bond		nation
									Prior Year		Current	Other Than		Change in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Unrealized	Year's	Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairment	Value	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 -	Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eian	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
3138AF-KQ-2	FNMA Pool A12102 MBS 3.500% 05/01/26	o.g	07/01/2013 .	Paydown	Otook	9,383	9,383	9,521	9,515	(Decrease)	(132)	n	(132)	v aluc n	9,383	Diopodai	О	Diopodai	192	.05/01/2026	1
3138AF-KQ-2	FNMA Pool Al2102 MBS 3.500% 05/01/26		08/01/2013	Paydown		29,116	29,116	29,544	29,525	0	(408)	0	(408)	0	29,116	0	0	0		.05/01/2026	1
3138AF-KQ-2	FNMA Pool Al2102 MBS 3.500% 05/01/26		09/01/2013 .	Paydown		2,091	2,091	2, 122	2, 121	0	(29)	0	(29)	0	2,091	0	0	0	55	.05/01/2026	1
3138AN-4F-7	FNMA Pool A18921 MBS 4.000% 08/01/26		07/01/2013 _	Paydown		3,616	3,616	3,833	3,825	0	(209)	0	(209)	0	3,616	0	0	0	84	.08/01/2026	1
3138AN-4F-7	FNMA Pool A18921 MBS 4.000% 08/01/26		08/01/2013 .	Paydown		3,359	3,359	3,560	3,553	0	(194)		(194)	0	3,359	0	0	0	90	.08/01/2026	1
3138AN-4F-7 3138AQ-B6-2	FNMA Pool A18921 MBS		09/01/2013 . 07/01/2013 .	Paydown		556 4,763	556 4,763	589 5,054	588 5,043		(32)	0	(32)(280)		556 4.763	0	0		17	.08/01/2026 .10/01/2026	1
3138AQ-B6-2	FNMA Pool A19960 MBS 4.000% 10/01/26		_08/01/2013 _	Pavdown		3,602	3,602	3.821	3.813	0	(211)	0	(211)	0	3,602	0		0	96	10/01/2026	1
3138AQ-B6-2	FNMA Pool A19960 MBS 4.000% 10/01/26		09/01/2013	Paydown		464	464	492	491	0	(27)	0	(27)	0	464	0	0	0	14	10/01/2026	1
3138W7-CM-4	FNMA Pool AR9075 MBS 3.500% 03/01/43		07/01/2013	Paydown		175	175	186	0	0	(11)	0	(11)	0	175	0	0	0	2	.03/01/2043	1
3138W7-CM-4	FNMA Pool AR9075 MBS 3.500% 03/01/43		08/01/2013	Paydown		173	173	184	0	0	(11)		(11)	0	173	0	0	0	3	.03/01/2043	1
3138W7-CM-4	FNMA Pool AR9075 MBS 3.500% 03/01/43		09/01/2013 _	Paydown		177	177	187	0	0	(11)		(11)	0	177	0	0	0	3	.03/01/2043	1
31419F-2L-9 31419F-2L-9	FNMA Pool AE5278 MBS 3.500% 08/01/25 FNMA Pool AE5278 MBS 3.500% 08/01/25		07/01/2013 . 08/01/2013 .	Paydown		148 149	148 L	153 153	153 153	u	(4)		(4)		148 149		0		3	.08/01/2025 .08/01/2025	1
	FNMA Pool AE5278 MBS 3.500% 08/01/25		09/01/2013	Paydown		149	149	154	154	0	(4)	0	(4)	0	149	0	0	0	4	.08/01/2025	1
	FL Dept Trans Rev Bond Non Call 5.000%										.,		.,,								
341603-BQ-0	07/01/13		07/01/2013 .	Maturity		500,000	500,000	532,595	502,944	0	(2,944)	0	(2,944)	0	500 , 000	0	0	0	25,000	.07/01/2013	1FE
000007 50 5	MO St Hsg Dev Comm Sgl Rev Bond Call Sink		07 (04 (0040	0 11 400 0000		40.000	40.000	40, 400	40,000	0	(000)		(000)		40.000				404	00 (04 (0000	1FF
60636X-E2-5	5.050% 03/01/38 MO St Hsg Dev Comm Sgl Rev Bond Call Sink		07/01/2013 .	. Call 100.0000		10,000	10,000	10,438	10,309	0	(309)	0	(309)	0	10,000	0	0	0	421	.03/01/2038	IFE
60636X-E2-5	5.050% 03/01/38		08/01/2013	. Call 100.0000		5,000	5,000	5,219	5 , 155	0	(155)	0	(155)	0	5,000	0	0	0	231	.03/01/2038	1FE
	San Bernardino CA Rev Bond MW 12.5BP Sink		2200, 01, 2010					,2,2,0	, 100				(100)		, , , , , , , , , , , , , , , , ,					20, 0 1, 2000 11	
796839-BP-2	6.020% 08/01/23		08/01/2013 .	. Call 100.0000		5,000	5,000	5,264	5,259	0	(259)	0	(259)	0	5,000	0	0	0	301	.08/01/2023	1FE
3199999.	Subtotal - Bonds - U.S. Special Reven	ues				1,646,588	1,646,588	1,746,755	1,640,350	0	(13,984)	0	(13,984)	0	1,646,588	0	0	0	75,919	XXX	XXX
000050 40 0	Ally Auto Rec Tr ALLYA 2012-5 A2 ABS 0.450%		07 (45 (0040			44.470	44.470	44.470	44.454						44 470					07/45/0045	455
02005B-AB-2	07/15/15		07/15/2013 _	Paydown		11, 172	11, 172	11,170	11,171			0	ļ	0	11,172			^U	29	.07/15/2015	IFE
02005B-AB-2	07/15/15		08/15/2013	Pavdown		12,333	12,333	12,332	12,332	0	1	0	1	0	12,333	0	0	0	37	.07/15/2015	1FE
	Ally Auto Rec Tr ALLYA 2012-5 A2 ABS 0.450%					·	·								,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						
02005B-AB-2	07/15/15		09/15/2013 _	Paydown		11,503	11,503	11,502	11,502	0	1	0	1	0	11,503	0	0	0	39	.07/15/2015	1FE
OOOOEE AD C	Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700% 01/15/15		07/15/0010	Davidania		0.400	0.400	0.407	0.400	0		0		0	.9.488		0		39	04 /45 /0045	455
02005E-AB-6	Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700%		07/15/2013 .	Paydown		9,488	9,488	9,487	9,488		0	0			9,468	0	0		39	.01/15/2015	IFE
02005E-AB-6	01/15/15		08/15/2013 _	Paydown		10,543	10,543	10,542	10,542	0	0	0	0	0	10,543	0	0	0	49	.01/15/2015	1FE
	Ally Auto Rec Tr ALLYA 2012-3 A2 ABS 0.700%																				
02005E-AB-6	01/15/15		09/15/2013	Paydown		9,903	9,903	9,902	9,903	0	0	0	0	0	9,903	0	0	0	52	.01/15/2015	1FE
026874-BV-8	AMER INTL GROUP Corp Note MW 50BP 3.650% 01/15/14		09/09/2013 _	Call 101.0843		50,542	50,000	51,271	50,783	0	(241)	0	(241)	0	.50,542	0	0	,	2,099	.01/15/2014	SEE
020074-04-0	Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS		03/03/2013 _	. 0411 101.0043			,000,000	,۱۱۲۱	,00,700		(241)	0	(241)				0		2,099	.91/13/2014	۵۱ ۲۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰
03061U-AB-9	0.710% 12/08/15		07/08/2013	Paydown		3,665	3,665	3,665	3,665	0	0	0	0	0	3,665	0	0	0	15	.12/08/2015	1FE
	Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS																				
03061U-AB-9	0.710% 12/08/15		08/08/2013 _	Paydown		3,909	3,909	3,908	3,908	0	0	0	0	0	3,909	0	0	0	18	_12/08/2015	1FE
03061U-AB-9	Americredit Auto Rec Tr AMCAR 2012-3 A2 ABS 0.710% 12/08/15		09/08/2013 _	Paydown		3,670	3,670	3,670	3,670	0	0	0	0	0	3,670	0	0	,	20	.12/08/2015	1FF
000010 AD 3	Americredit Auto Rec Tr AMCAR 2010-3 B ABS			ayuumi															20	12/00/2013	
03063P-AD-4	2.040% 09/08/15		08/08/2013	Paydown		5, 152	5, 152	5,223	5,205	0	(53)	0	(53)	0	5, 152	0	0	0	70	.09/08/2015	1FE
	Americredit Auto Rec Tr AMCAR 2010-3 B ABS																				
03063P-AD-4	2.040% 09/08/15 CNH Equip Tr CNH 2012-B A2 ABS 0.650%		09/08/2013 _	Paydown		15,519	15,519	15,732	15,677	0	(158)	0	(158)	0	15,519	0	0	0	237	.09/08/2015	1FE
12591A-AB-3	10/15/15		. 07/15/2013	Pavdown		4,269	4,269	4,269	4,269	0	0	0	0	0	4,269	0	0	n	16	.10/15/2015	1FF
IEOUIN ND 'O	CNH Equip Tr CNH 2012-B A2 ABS 0.650%		01/10/2010 _			7,209															
12591A-AB-3	10/15/15		08/15/2013 .	Paydown		3,741	3,741	3,740	3,741	0	0	0	0	0	3,741	0	0	0	16	.10/15/2015	1FE
	CNH Equip Tr CNH 2012-B A2 ABS 0.650%																				I I
12591A-AB-3	10/15/15		09/15/2013	Paydown		3,027	3,027	3,027	3,027	0	0	0	0	0	3,027	0	0	0	15	.10/15/2015	1FE
126650-AW-0	CVS Corp Note Sink Prv Plc 5.298% 01/11/27		07/10/2013	. Call 100.0000		210	210	228	228	0	(18)	n	(18)	٨	210	^	0	n	7	.01/11/2027	2FF
120000 All-0				- vaii 100.0000							\ IO				ZIU	LU					fel

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

					Show All Lo	ng-renn bo	mus and Stoc	K Solu, Red	leemed or C	Jinerwise	Disposea (of During ti	ne Current Qu	uarter							
1	2	3	4	5	6	7	8	9	10	Ch	nange In Bo	ok/Adjusted	Carrying Value		16	17	18	19	20	21	22
										11	12	13	14	15							
													Total	Total							NAIC
												Current		Foreign							Desig-
												Year's		xchange	Book/				Bond		nation
									Prior Year		Current	Other Than		hange in	Adjusted	Foreign			Interest/	Stated	or
									Book/	Linragiizad		_		-	Carrying	Exchange	Realized		Stock	Con-	Market
CUSIP					Number of					Unrealized		Temporary		Book	Value at	•		Total Cain	Dividends		In-
		Far	Diamonal	Nama		Canaid		A atual	Adjusted	Valuation	(Amor-	Impairment		Adjusted		Gain	Gain	Total Gain		tractual	
Ident-	D d. f	For-	Disposal	Name	Shares of	Consid-	D	Actual	Carrying	Increase/	tization)/	Recog-		Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	dicator
ification	Description	eign	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
400050 4111 0	CVS Corp Note Sink Prv Plc 5.298% 01/11/27		00/40/0040	Call 100.0000		044	044	000	000		(40)		(40)	0	044	0	0		7	04 (44 (0007	OFF
126650-AW-0	CVS Corp Note Sink Prv Plc 5.298% 01/11/27		08/10/2013	. Call 100.0000		211	211	229	229		(18)		(18)		211	0				01/11/2027	. 2FE
126650-AW-0	0.230% 017 11/27		_09/10/2013 _	Call 100.0000		212	212	230	230	0	(18)	0	(18)	0	212	0	0	0	8	.01/11/2027	2FE
	Carmax Auto Own Tr CARMX 2012-2 A2 ABS												(
14313J-AB-7	0.640% 05/15/15		07/15/2013	Paydown		5,871	5,871	5,870	5,870	0	0	0	0	0	5,871	0	0	0	22	.05/15/2015	. 1FE
	Carmax Auto Own Tr CARMX 2012-2 A2 ABS			•																	
14313J-AB-7	0.640% 05/15/15		08/15/2013 _	Paydown		5,956	5,956	5,956	5,956	0	0	0	0	0	5,956	0	0	0	25	_05/15/2015	. 1FE
440404.45	Carmax Auto Own Tr CARMX 2012-2 A2 ABS		00 (45 (00):5				5.00	5.0:-	F 6	_	_	1				_	_	_		05 (45 (0045	
14313J-AB-7	0.640% 05/15/15		09/15/2013	Paydown		5,816	5,816	5,816	5,816	l0	0	l0	}	0	5,816	0	0	0	28	.05/15/2015	. 1FE
14313L-AB-2	Carmax Auto Own Tr CARMX 2013-1 A2 ABS 0.420% 03/15/16		08/15/2013	Paydown		1,754	1,754	1,754	0	_	_	_		٥	1,754	0	_	_		_03/15/2016	1FE
140 IOL-AD-2	Carmax Auto Own Tr CARMX 2013-1 A2 ABS		13/20 10/20 10	Paydown		1,704	1,734	1,104	0	t		ļ	t t		1,734		0	ļ ⁰		ווו 20 וטע / וויטע.	
14313L-AB-2	0.420% 03/15/16		09/15/2013	Paydown		4,999	4,999	4,998	0	n	n	0	0	0	4,999	n	n	n	12	.03/15/2016	1FE
	DBRR Tr DBRR 2012-EZ1 A CMBS Prv Plc 0.946%			-, -,		, 300	.,	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,							, 500					10, 20 10	
23306A-AA-8	09/25/45		07/01/2013	Paydown		3,854	3,854	3,853	3,853	0	1	0	1	0	3,854	0	0	0	21	.09/25/2045	. 1FE
	DBRR Tr DBRR 2012-EZ1 A CMBS Prv Plc 0.946%	5																			
23306A-AA-8	09/25/45		08/01/2013	Paydown		6,370	6,370	6,369	6,368	0	2	0	2	0	6,370	0	0	0	40	09/25/2045	. 1FE
	DBRR Tr DBRR 2012-EZ1 A CMBS Prv Plc 0.946%	5	00 (04 (0040			7.040	7.040	7.047	7.040						7.040					00 105 100 15	455
23306A-AA-8	09/25/45		09/01/2013 _	Paydown		7,919	7,919	7,917	7,916	0	3	0	3	0	7,919	0	0	0	56	_09/25/2045	. 1FE
29372E-AB-4	Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc		07/20/2013	Doudown		9,346	9,346	9,381	9,369		(23)		(23)	0	9,346	0	0	,	78	. 10/20/2016	1FF
293/2E-AD-4	1.430% 10/20/16 Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc			Paydown			9,340	9,301	9,309		(23)		(23)		9,340				10	10/20/2010	. IFE
29372E-AB-4	1.430% 10/20/16		08/20/2013	Paydown		9.786	9.786	9,823	9.809	0	(24)	0	(24)	0	9.786	0	0	0	93	. 10/20/2016	1FE
	Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc																				
29372E-AB-4	1.430% 10/20/16		09/20/2013	Paydown		9, 110		9, 144	9, 132	0	(22)	0	(22)	0	9, 110	0	0	0	98	. 10/20/2016	. 1FE
	First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv																				
32057W-AC-8	PIc 1.470% 05/15/18		07/15/2013	Paydown		3,489	3,489	3,489	3,489	0	0	0	0	0	3,489	0	0	0	30	05/15/2018	. 1FE
00057111 40 0	First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv		00 (45 (0040	D 1		0.454	0.454	0.454	0.454	0				0	0.454	0			0.4	05 (45 (0040	455
32057W-AC-8	PIc 1.470% 05/15/18 First Inv Auto Tr FIAOT 2012-2A A2 ABS Prv		08/15/2013	Paydown		3,451	3,451	3,451	3,451	0	0	0	0	0	3,451	0	0	0	34	05/15/2018	. 1FE
32057W-AC-8	PIC 1.470% 05/15/18		09/15/2013	Paydown		3,233	3,233	3,233	3,233	0	0	0	٥	0	3,233	0	0	0	36	.05/15/2018	. 1FE
	GE Equip GEEST 2012-1A A2 ABS Prv Plc			Tayaomi		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,200							, 200						
36162R-AB-4	0.850% 11/21/14		07/21/2013	Paydown		8,711	8,711	8,711	8,711	0	0	0	0	0		0	0	0	43	11/21/2014	. 1FE
	GE Equip GEEST 2012-1A A2 ABS Prv Plc															-					
36162R-AB-4	0.850% 11/21/14		08/21/2013	Paydown		10,501	10,501	10,501	10,501	0	0	0	0	0	10,501	0	0	0	60	11/21/2014	. 1FE
	GE Equip GEEST 2012-1A A2 ABS Prv Plc			L .							_]			_	_	_			1
36162R-AB-4	0.850% 11/21/14		09/21/2013	Paydown		7,880	7,880	7,880	7,880	}0	0	}0	}	0	7,880	0	0	}0	50	11/21/2014	. 1FE
38141E-A5-8	Goldman Sachs Corp Note Non Call 5.375% 03/15/20		07/30/2013	JP Morgan Chase		44,083	40,000	39,356	39,501	0	22	0	33	٥	39,534	0	4,549	4,549	1,893	.03/15/2020	1FF
00 14 IL-NO-0	Honda Auto Rec HAROT 2013-1 A2 ABS 0.350%		01/30/2013	w muryan onase					ا ۵۰, ور						, 33, 334						
43814C-AB-5	06/22/15		09/21/2013	Paydown	L	385	385	385		n	n	0	0	0	385	n l	0	n	1	.06/22/2015	1FE
	Hyundai Auto Rec Tr HART 2012-B A2 ABS			-,																	
44890H-AB-9	0.540% 01/15/15		07/15/2013	Paydown		9,056	9,056	9,055	9,055	0	0	0	0	0	9,056	0	0	0	29	.01/15/2015	. 1FE
	Hyundai Auto Rec Tr HART 2012-B A2 ABS																				
44890H-AB-9	0.540% 01/15/15		08/15/2013	Paydown		9,423	9,423	9,423	9,423	0	٥	0	J	0	9,423	0	0	0	34	01/15/2015	. 1FE
4400011 40 0	Hyundai Auto Rec Tr HART 2012-B A2 ABS		00/45/0040	Davidama		0.400	0 400	0 400	0.400	_	_	_		_	0 400	_	_	_		04/45/0045	1FF
44890H-AB-9	0.540% 01/15/15		09/15/2013	Paydown		9, 192	9, 192	9, 192	9, 192	l0	0	10	l		9, 192	0	0	l0	37	01/15/2015	. IFE
78459T-AA-6	1.780% 06/15/16		07/15/2013 _	Paydown		613	613	613	613	n	n	0	0	0	613	n	n	n	6	_06/15/2016	1FE
	SNAAC Auto Tr SNAAC 2012-1A A ABS Prv Plc									l		1	ļ					l	·	_ 00/ 10/ 20 10	
78459T-AA-6	1.780% 06/15/16		08/15/2013	Paydown		968	968	968	968	0	0	0	L 0 L	0 [.	968	0	0	0	12	.06/15/2016	. 1FE
	SNAAC Auto Tr SNAAC 2012-1A A ABS Prv Plc			,								1									
78459T-AA-6	1.780% 06/15/16		09/15/2013	Paydown		1, 124	1, 124	1, 124	1, 124	0	0	0	0	0	1, 124	0	0	0	15	.06/15/2016	1FE
	Santander Cons Acq SCART 2011-S1A C ABS Prv			L .						1											l
80282M-AC-6	PIc 2.010% 08/15/16		07/15/2013	Paydown		3,356	3,356	3,384	0	0	(29)	L0	(29)	0	3,356	0	0	0	28	.08/15/2016	. 1FE
0000011 10 0	Santander Cons Acq SCART 2011-S1A C ABS Prv Plc 2.010% 08/15/16		00/15/0010	Doudown		2.390	2.390	2.410	^	_	(20)		(20)	_	2.390	_	_	_	24	00/15/0010	1FF
8U282M-AU-6	FIG 2.010% 08/10/10	1	08/15/2013 _	rayu0WП		L∠,390		∠,410		LU	L(20)	LU	L(ZU)		∠,390		LU	LU	24	_08/15/2016	. IFE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold. Redeemed or Otherwise Disposed of During the Current Quarter

					SHOW All LO	ng-renn bo	nius and Stoc	k Solu, Keu	leemed of C	Juliel Wise i	Disposed (וו Duning נו	he Current Quarter							
1	2	3	4	5	6	7	8	9	10	Ch	nange In Boo	ok/Adjusted	Carrying Value	16	17	18	19	20	21	22
										11	12	13	14 15							
													Total Total							NAIC
												Current	Change in Foreign							Desig-
												Year's	Book/ Exchange	Book/				Bond		nation
									Prior Year		Current				Foreign			Interest/	Stated	or
											Current	Other Than		Adjusted	Foreign	Doglizad				
OLIOID					N				Book/	Unrealized		Temporary	Carrying Book	Carrying	Exchange		T. (.) O	Stock	Con-	Market
CUSIP		_	<u> </u>		Number of				Adjusted	Valuation	(Amor-	Impairment	Value /Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	In-
Ident-		For-		Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 - Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on		Maturity	dicator
ification	Description	eign	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13) Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
	Santander Cons Acq SCART 2011-S1A C ABS Prv																			
80282M-AC-6	PIc 2.010% 08/15/16		09/15/2013	Paydown		2,303	2,303	2,323	0	0	(20)	0	(20)0	2,303	0	0	0	27	08/15/2016	1FE
80282X-AB-4	Santander Drive Auto SDART 2012-4 A2 ABS		_07/15/2013 _	Dd		5,958	5,958	5,958	5,958		0	0		5,958				07	08/17/2015	455
8U282X-AD-4	0.790% 08/17/15		0// 15/2013	Paydown		, 938				0			ļ					27	08/1//2015	. IFE
80282X-AB-4	Santander Drive Auto SDART 2012-4 A2 ABS 0.790% 08/17/15		08/15/2013	Paydown		6,066	6,066	6,066	6,066	0	0	0		6,066	0	0	n	32	08/17/2015	1EE
00202A AD 4	Santander Drive Auto SDART 2012-4 A2 ABS			ayuumi															00/11/2010	
80282X-AB-4	0.790% 08/17/15		09/15/2013	Paydown		5,933	5,933	5,933	5,933	0	0	0	0 0	5,933	0	0	0	35	08/17/2015	1FE
	VOLKSWAGEN AUTO VWALT 2012-A A2 ABS 0.660%			,																
92867K-AB-0	11/20/14		07/20/2013	Paydown		10,371	10,371	10,370	10,371	0	1	0	10	10,371	0	0	0	40	11/20/2014	1FE
	VOLKSWAGEN AUTO VWALT 2012-A A2 ABS 0.660%											1				1				
92867K-AB-0	11/20/14		08/20/2013	Paydown	-	9,747	9,747	9,746	9,747	0	0	0	0	9,747	0	0	0	43	11/20/2014	. 1FE
000071/ 15 -	VOLKSWAGEN AUTO VWALT 2012-A A2 ABS 0.660%		00 (00 (00):-			40.6	40.00	40.0	40.0	_	_	_		40.5	_		_	<u>.</u> .	11 (00 (001)	455
92867K-AB-0	11/20/14		09/20/2013	Paydown	-	12,914	12,911	12,914	12,914	0	0	0	0	12,914	0	0	0	64	11/20/2014	. TFE
92936T-AA-0	WF-RBS Comm Mtg WFRBS 2012-C7 A1 CMBS 2.300% 06/15/45		07/01/2013	Daudaun		314	314	317	317	0	(2)		(3)0	314	0		٥	4	06/15/2045	1EU
929301-AA-U	WF-RBS Comm Mtg WFRBS 2012-C7 A1 CMBS		07/01/2013	Paydown				١١ هـــــــــــــــــــــــــــــــــــ	١١هـــــــــ		(3)	0	(3)	۱4 د	0				JU/ 13/ 2043	- IFM
92936T-AA-0	2.300% 06/15/45		08/01/2013	Paydown		286	286	289	289	0	(3)	0	(3)	286	0	0	0	4	06/15/2045	1FM
	WF-RBS Comm Mtg WFRBS 2012-C7 A1 CMBS				-								(0)						, , , , , , , , , , , , , , , , , , , ,	
92936T-AA-0	2.300% 06/15/45		09/01/2013	Paydown		287	287	290	290	0	(3)	0	0	287	0	0	0	5	06/15/2045	1FM
	World Omni Auto WOART 2012-A A2 ABS 0.520%			,																
98157H-AA-5	06/15/15		07/15/2013	Paydown		5,068	5,068	5,068	5,068	0	0	0	0	5,068	0	0	0	15	06/15/2015	. 1FE
	World Omni Auto WOART 2012-A A2 ABS 0.520%									_	_	_			_	_	_			
98157H-AA-5	06/15/15		08/15/2013	Paydown		5,246	5,246	5,246	5,246	0	0	0	0	5,246	0	0	0	18	06/15/2015	. 1FE
0045711 AA 5	World Omni Auto WOART 2012-A A2 ABS 0.520% 06/15/15		00/45/0040	Dd		4.054	4.054	4.054	4.054	0	0	0		4.054				19	00/45/0045	100
98157H-AA-5	World Omni Auto WOART 2012-B A2 ABS 0.430%		09/15/2013	Paydown		4,851	4,851	4,851	4,851					4,851				19	06/15/2015	. IFE
98158Q-AB-2	11/16/15		07/15/2013	Paydown		4,985	4,985	4,985	4,985	0	0	0	0 0	4,985	0	0	0	13	11/16/2015	1FF
D01000 11D E	World Omni Auto WOART 2012-B A2 ABS 0.430%		1.917 107 20 10 1.			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,								,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					11/10/2010	
98158Q-AB-2	11/16/15		08/15/2013	Paydown		7,801	7,801		7,800	0	0	0	Lo Lo	7,801	0	0	0	22	11/16/2015	1FE
	World Omni Auto WOART 2012-B A2 ABS 0.430%			.,		,	,	,						,						
98158Q-AB-2	11/16/15		09/15/2013	Paydown		7,586	7,586	7,585	7,585	0	0	0	0	7,586	0	0	0	24	11/16/2015	1FE
	World Omni Auto WOLS 2012-A A2 ABS 0.710%											1				1				
98158V-AB-1	01/15/15		07/15/2013	Paydown	-	1,916	1,916	1,916	1,916	0	0	0	0	1,916	٥	0	0	8	01/15/2015	. 1FE
001507 40 4	World Omni Auto WOLS 2012-A A2 ABS 0.710%		00 /15 /0010	Paydawa		0.004	0.004	0.004	0.004	^	_	_		0.004	_	_	_	40	01/15/0015	100
98158V-AB-1	01/15/15 World Omni Auto WOLS 2012-A A2 ABS 0.710%		08/15/2013	Paydown		2,094	2,094	2,094	2,094				u	2,094	0		0	10	01/15/2015	. ILE
98158V-AB-1	01/15/15		09/15/2013	Paydown		1,947	1,947	1,947	1,947	n	n	n	0 0	1,947	n	n	n	10	01/15/2015	1FE
	Thomson Reuters Corp Note Cont Call 5.950%				-	1,347								, 547					, 10/ <u>2</u> 0 10	
884903-BA-2	07/15/13	A	07/15/2013	Maturity		125,000	125,000	124,373	124,925	0	75	0	750	125,000	0	0	0	7 , 438	07/15/2013	1FE
1	Toronto Dominion Bk NY Cert of Deposit			,																
89112X-R3-1	0.264% 07/26/13	A	07/26/2013	Maturity		1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	1,500,000	٥	0	0	3,378	07/26/2013	1FE
3899999. S	Subtotal - Bonds - Industrial and Misce	ellaned	ous (Unaffili	ated)		2,068,378	2,063,750	2,064,254	2,049,102	0	(535)	0	(535) 0	2,063,829	0	4,549	4,549	16,818	XXX	XXX
	Total - Bonds - Part 4		•	*		4,253,306	4,210,338	4,307,064	4,186,994	0		0		4,208,267	0	45,039	45,039	106,107	XXX	XXX
	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Bonds					4,253,306	4,210,338	4,307,064	4.186.994	0	(14.211)	0		4.208.267	0	45.039	45,039	106, 107	XXX	XXX
						4,233,300	XXX	4,307,004	4, 100, 994	0	(14,211)	0	0 0	4,200,207	0	45,039	40,009	100, 107	XXX	XXX
	Total - Preferred Stocks - Part 4					V///		ŭ	•	Ů	Ū	U	0 0	v	٠	V///	V///	U		
	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0 0	0	0	0	0	0	XXX	XXX
	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0 0	0	0	0	0	0	XXX	XXX
9799998. 7	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
	Total - Common Stocks					0	XXX	0	0	0	0	0	0 0	0	0	0	0	0	XXX	XXX
	Total - Preferred and Common Stocks	;				n	XXX	0	0	0	0	0	0 0	0		0	0	0	XXX	XXX
9999999 -		•				4,253,306	XXX	4,307,064	4,186,994	0		0	(14,211) 0	4,208,267	0	45,039	45,039	106, 107	XXX	XXX
- 888888E	i บเลเอ					4,Z33,3Ub	^^^	4,307,004	4, 180,994	U	(14,211)	. 0	(14,211) 0	4,200,20/	1 0	40,039	40,039	100, 107	$\wedge \wedge \wedge$	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open \overline{N} \overline{O} \overline{N} \overline{E}

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open \overline{N} \overline{O} \overline{N} \overline{E}

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open $\overline{\mathsf{N}}$ $\overline{\mathsf{O}}$ $\overline{\mathsf{N}}$ $\overline{\mathsf{E}}$

Schedule DL - Part 1 - Reinvested Collateral Assets Owned NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

SCHEDULE E - PART 1 - CASH

3011			End Depository		АЗП			
1	2	3	4	5		lance at End of Eacuring Current Quart		9
Power?	0.1	Rate of		at Current	6	7	8	
Depository Depository	Code	Interest	Quarter	Statement Date	First Month	Second Month	Third Month	
BNP Paribas Cert of Deposit	·····	0.490	2,396	0	0	0	0	XXX
Deutsche Bank Cert of Deposit		0.730	0	3,833	750,000	750,000	750,000	XXX
Lloyds TSB Bank Cert of Deposit		0.340	0	1, 183	750,000	750,000	750,000	xxx
Banco del Estado de Chile Cert				,			ŕ	
of Deposit	·	0.850	3, 161	0	0	0	0	XXX
Bank Nova Scotia Cert of Deposit		0.765	(1,001)	797	751,657	751,391	751, 134	XXX
Sumitomo Mitsui Banking Corp								
Cert of Deposit		0.380	0	1,116	750,000	750,000	750,000	xxx
Skandinaviska Enskilda Cert of Deposit		0.290	0	1,001	900,000	900,000	900,000	
Bank Tokyo Mitsubishi Cert of		0.200	0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
Deposit		0.860	12,073	0	0	0	0	xxx
Co-Op Cent Bnk NL Cert of Deposit		0.430	0	3,727	1,000,000	1,000,000	1,000,000	XXX.
National Bank of Canada Cert of Deposit		0.350	0	292	0	750 000	750,000	
Norinchukin Bank Cert of		0.330	0	292	0	130,000	130,000	
Deposit		0.380	2,090	0	0	0	0	XXX.
Landesbank Hessen-Thuringen								
Cert of Deposit		0.420	0	1,806	900,000	900,000	900,000	XXX.
Bank Tokyo Mitsubishi Cert of Deposit		0.400	(1,367)	2,300	1,000,238	1,000,204	1,000,171	XXX.
Banco del Estado de Chile Cert of Deposit		0.650	0	2, 193	350,000	350,000	350,000	
Barclays Bank Cert of Deposit				•	,	ŕ		
Indu & Coml Bnk China NY Cert		0.750	0	5 , 133	1,100,000	1,100,000	1,100,000	
of Deposit		0.770	0	1,005	0	1,000,000	1,000,000	XXX.
Credit Suisse Cert of Deposit		0.600	0	2,220	600,000	600,000	600,000	xxx
Credit Industriel Cert of		0.360	0	298	0	850,000	850,000	V///
DepositSumitomo Mitsui Banking Corp		10.300	0	290				XXX.
Cert of Deposit		0.790	5,974	0	0	0	0	xxx
Century South Bank Cert of Deposit		0.480	5,295	0	0	0	0	xxx
Deutsche Bank Cert of Deposit		0.630	0	1,225	350,000	350,000	350,000	L.XXX
Standard Charter Bank Cert of								
DNB Bank ASA Cert of Deposit	-	0.300	0	82	0	350,000	350,000	XXX.
		0.430	0	3,727	1,000,000	1,000,000	1,000,000	
SSB - Cash/Asset Match!		0.000	0	0	0	0	0	XXX
JP Morgan Detroit, Michigan	ļ	0.000	0	0	(5,682,504)	(5,552,689)	(10,530,513)	
Bank One Detroit, Michigan		0.000	0	0	3,149	9,549	9,549	XXX
0199998. Deposits in 0 depositories that do not exceed the allowable limit in any one depository (See	V0.01	2001	_		•	_	_	V0.01
instructions) - Open Depositories	XXX		0 00.001	0	0	7 000 455	0 000 044	XXX
0199999. Totals - Open Depositories 0299998. Deposits in 0 depositories that do not	XXX	XXX	28,621	31,938	4,522,540	7,608,455	2,630,341	XXX
exceed the allowable limit in any one depository (See	1001	V0.04	•		^	•		V0.04
instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX

28,621

31,938

4,522,540

7,608,455

0 XXX

2,630,341 XXX

XXX XXX

XXX XXX

0299999. Totals - Suspended Depositories 0399999. Total Cash on Deposit

${\tt STATEMENT\ AS\ OF\ SEPTEMBER\ 30,\ 2013\ OF\ THE\ \ United Healthcare\ Community\ Plan,\ Inc.}$

SCHEDULE E - PART 1 - CASH

Month End Depository	Balances
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1	2	3	4	5	Book Bal Du	lance at End of Eac uring Current Quart	ch Month er	9
		Rate of	Amount of Interest Received During Current	at Current	6	7	8	
Depository		Interest		Statement Date	First Month	Second Month	Third Month	*
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
								ļ
								
								
								
								†
								*
								*
								*
								[
								<u> </u>
		ļ						
			00.004	04.000	4 500 540	7 000 455	0.000.011	
0599999. Total - Cash	XXX	XXX	28,621	31,938	4,522,540	7,608,455	2,630,341	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter $N\ O\ N\ E$